

Annual Report

Planning for Healthy Babies Program® (P4HB®)

1115 Demonstration in Georgia

SUMMATIVE REPORT

Submitted to the Centers for Medicare and Medicaid Services

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Executive Summary

Georgia's Planning for Healthy Babies Program[®] (P4HB[®]), Georgia's section 1115(a) Medicaid Demonstration expanded the provision of family planning services to uninsured female citizens capable of childbirth, ages 18 through 44 years, with family incomes at or below 200 percent of the Federal Poverty Level (FPL) [211% FPL as of April 2017] residing in the state. The P4HB program, initially approved for a three-year period from January 1, 2011, through December 31, 2013, was granted multiple temporary extensions through August 28, 2019.

The Center for Medicare and Medicaid Services (CMS) recently extended the P4HB waiver program effective August 29, 2019, through December 31, 2029. The approved renewal of the waiver is based on the determination that the continued demonstration is likely to promote the objectives of Title XIX by "improving access to high-quality, person-centered family planning services that produce positive health outcomes for individuals. It is also likely to lead to positive health outcomes through its unique program component of Interpregnancy Care (IPC) which provides targeted benefits for physical and behavioral health services to otherwise uninsured women that have delivered very low birth weight (VLBW) infants in Georgia."

In this Summative Report, we include findings from the full waiver period 2011-2019 to evaluate whether the goals and objectives of the P4HB demonstration were fully or partially met in program years (PYs) 1-9. At the start of the demonstration Georgia's Department of Community Health (DCH) identified the outcome *goals* and related *objectives* of the demonstration.

Outcome Goals:

- **Primary:** Reduce Georgia's LBW and VLBW rates;
- **Secondary:** Reduce the number of unintended pregnancies in Georgia;
- **Tertiary:** Reduce Georgia's Medicaid costs by reducing the number of unintended pregnancies by women who otherwise would be eligible for Medicaid pregnancy-related services.

Objectives:

- Improve access to family planning services by extending eligibility for these services to newly eligible women.
- Provide access to interpregnancy primary care health services for eligible women who deliver a VLBW infant.
- Decrease unintended and high-risk pregnancies among Medicaid eligible women.
- Decrease late teen pregnancies by reducing the number of first or repeat teen births among Medicaid eligible women ages 18-19 years.
- Decrease the number of Medicaid-paid deliveries from the number expected to occur in the absence of the Demonstration beginning in the second year.
- Increase child spacing intervals through effective contraceptive use.
- Increase consistent use of contraceptive methods by providing wider access to family planning services and incorporating care coordination and patient-directed counseling into family planning visits.
- Increase family planning utilization among Medicaid eligible women by using an outreach and public awareness program designed with input from family planning patients and providers as well as women needing but not receiving services.
- Decrease Medicaid spending attributable to unintended births and LBW and VLBW babies.

These objectives point to several quantifiable performance measures that were assessed pre- and post- implementation of the Demonstration. Below, we summarize the findings from quasi-experimental pre/post analysis as well as updated descriptive and multivariate analysis using a control group of non-participants (and non-users) within the components of P4HB:

- Implementation of P4HB resulted in a total of 20,261 averted births that would otherwise be paid for by Georgia's Medicaid program and achieved cost savings for the Medicaid program each year.

- Implementation of P4HB was associated with: 1) decreased unintended pregnancies; 2) decreased teen births; 3) decreased very short (<6 months) interpregnancy intervals; and 4) increased age at first birth among women eligible for pregnancy Medicaid.
- Implementation of P4HB was not associated with reductions in LBW and VLBW births in Georgia.
- Rates of utilization of services among family planning only enrollees varied markedly with manner of enrollment (with decreased utilization during periods of auto-enrollment or Gateway enrollment).
- Enrollment in the family planning only component of P4HB and use of any contraceptive method was associated with a lower rate of short interpregnancy intervals (<6 months; 12 months; 18 months).
- Enrollment in the family planning only component of P4HB and use of long-acting reversible contraceptives (LARCs) was associated with a higher rate of normal birthweight infants among those who did conceive a pregnancy after enrollment.
- Enrollment in the IPC component of P4HB among those eligible was associated with a *significantly* ($p<.05$) lower likelihood of a clinically inappropriate interpregnancy interval (< 12 or 18 months) and of either a repeat pregnancy and a repeat delivery within 18 months of the index delivery
- Enrollment in the IPC component of P4HB among those eligible was also associated with a *significantly* ($p<.05$) lower likelihood of an adverse outcome (fetal death, stillbirth, VLBW or LBW infant) for deliveries within 18 months of the index delivery.
- IPC/Resource Mother only women received interpregnancy care services, including contraceptive methods and management of hypertensive and diabetes disorders, but rates of utilization could be improved.
- Enrollment in the IPC/Resource Mother only component and use of family planning services, especially use of contraceptives, was associated with greater reductions in repeat pregnancy within 18 months of the index delivery relative to enrollment without use of services or non-enrollment.
- Receipt of any contraceptive method among IPC/Resource Mother only women increased over the months enrolled post-delivery.

Lessons Learned:

While the implementation of P4HB did achieve the secondary and tertiary outcome goals as well as many of the related objectives listed above, it did not achieve the primary goal of reducing Georgia's overall rate of VLBW (< 1500 grams) infants or LBW (1500-2499 grams) infants. Among Medicaid births, the percent of VLBW infants increased from 1.9% to 2.1% and the percent of LBW infants increased from 8.3% to 9.2% over PYs 1-9. Based on the multi-year data analysis, member and provider surveys and tracking of key developments (e.g., change in the Title X grantee in Georgia) we can offer the following lessons learned:

- Enrollment of eligible women in the community, critical to the success of P4HB, has consistently lagged expectations perhaps due to a consistent misunderstanding of the program and services covered among both women and Medicaid participating providers.
- Once enrolled, utilization of services is also critical to success. Lower than expected utilization of family planning and family-planning related services among enrollees also appear to be affected by lack of information and programmatic changes in enrollment processes (e.g., auto enrollment, employment of the Gateway System) that were unclear to women and their providers.
- To achieve the goal of lowering the VLBW rate, the P4HB program should focus on enrolling and *retaining* enrollees in not only the IPC component but also the FP only component since first-time mothers constitute the largest share of VLBW births. Further quantitative and qualitative analysis of patterns and reasons for program disenrollment are needed.
- Increasing the extent and frequency of communication with providers is critical.
- The lack of data and analysis regarding services provided by Resource Mothers within the IPC component of P4HB meant DCH could not develop/implement data-driven policies to improve this unique component of P4HB.
- The lack of understanding of facilitators and barriers to health care service utilization in the FP only component but especially the IPC/Resource Mother only component meant DCH could not assess the linkage of new mothers to needed social support on health care service utilization postpartum.

- The P4HB program cannot be seen as an isolated program from others offering insurance (the ACA Marketplace) and access to family planning services (Title X) for the same target population in the state. In 2014, access to family planning at Title X clinics decreased for women. Also, enrollment in the ACA Marketplace resulted in lower income and likely higher-risk women delivering through Medicaid, making it harder to achieve the goals of P4HB.

In summary, to meet the key goals of reducing the proportion of Georgia infants born VLBW and LBW there is a need to enroll and retain larger numbers of eligible women in the P4HB components and once enrolled, to increase the percentage aware of the services covered, of providers willing to serve them and ultimately, the percentage using effective family planning services. There is also the need to better understand the organization and delivery of Resource Mother services through the IPC component of P4HB. To the extent that addressing the needs for social support services in their communities can improve the health of women in the IPC component, the full intent of P4HB can be furthered.

I. OVERVIEW OF THE PLANNING FOR HEALTHY BABIES PROGRAM (P4HB)

In October of 2010, CMS granted Georgia the authority to expand access to family planning services under the Planning for Healthy Babies[®] (P4HB[®]) program. This program deemed eligible women as: 1) U.S. citizens and residents of Georgia who were otherwise uninsured and not eligible for Medicaid; 2) 18 through 44 years of age; 3) not pregnant but able to become pregnant; and 4) with incomes at or below 200% of the Federal Poverty Level (FPL). (With the state's use of the MAGI income measure, this threshold is now 211% FPL). The P4HB program is unique in that it also provides Interpregnancy Care (IPC) services, inclusive of nurse case management/Resource Mother outreach, to women who meet the above eligibility criteria and who recently delivered a very low birth weight (VLBW) infant (<1500 grams or < 3 pounds 5 ounces). In addition, the program offers nurse case management/Resource Mother outreach services to women enrolled in the Georgia LIM (Low Income Medicaid) or ABD (Aged, Blind and Disabled) Medicaid programs who recently delivered a VLBW infant. Under the extended P4HB demonstration program, Georgia expects to achieve the following goals to promote the objectives of Title XIX:

- Reduce Georgia's Medicaid low birth weight (LBW) and VLBW rates;
- Reduce the number of unintended pregnancies in Georgia Medicaid;
- Reduce Georgia's Medicaid costs by reducing the number of unintended pregnancies by women who otherwise would be eligible for Medicaid pregnancy-related services;
- Provide access to IPC services for eligible women who have previously delivered a VLBW infant; and
- Increase child spacing intervals through effective contraceptive use.

The goals set for P4HB go beyond the minimum goals generally held for states' family planning demonstrations:

1. Ensure access to family planning and/or family planning-related services for low-income individuals not otherwise eligible for Medicaid; and
2. Improve or maintain health outcomes for the target population as a result of access to family planning services and/or family planning-related services.

These goals point to quantifiable performance measures that have been assessed pre- and post-implementation of the P4HB Demonstration and presented in earlier reports. The original Evaluation Design called for a quasi-experimental design, where possible, to test for changes pre and post the Demonstration. This approach was used in the pre/post analyses of outcomes based on the administrative claims data linked to vital records through PY9 and reviews the results of an analysis using the Pregnancy Risk Assessment Monitoring System (PRAMS) data that also used the quasi-experimental design. In this Summative Report we summarize results from these analyses as well as trends in enrollment, utilization, Medicaid paid births, birth weight, infant mortality and data pertaining to 'averted' births and budget neutrality. We begin with a summary of the efforts made by DCH and the contracted CMOs to communicate and reach out to women in the community.

II. SUMMARY OF ACTIVITIES PY1-PY9

Communication and Outreach

During PY's 1-9, DCH conducted numerous activities to increase awareness of the P4HB program and to encourage participation by both consumers and providers. Also, DCH worked with CMS to complete the application for the P4HB 10-year extension, which was approved effective August 29, 2019 through December 31, 2029. Additionally, DCH made various corrections to the Georgia Gateway system. Also, the CMOs and network providers conducted outreach and education to prospective enrollees about the P4HB program. These activities for PY's 1-9 are summarized below.

DCH Supported Activities

In PYs 1-9, DCH: 1) developed and implemented a P4HB Communication Plan; 2) educated CMOs and Medicaid network providers about P4HB and available services under the program; 3) utilized consumer-based outreach; 4) collaborated with state agencies and community partners to enhance outreach and enrollment in P4HB; and 5) worked to make corrections and refinements to the Georgia Gateway integrated eligibility system for the processing of P4HB applications; 6) worked with CMS to aid in the completion of the extension application, new budget neutrality calculations and public notice process; and 6) completed an annual evaluation. The DCH link for the P4HB program is: <https://medicaid.georgia.gov/all-programs/planning-healthy-babies>

1. **P4HB Communication Plan.** In preparation of the launch of the P4HB, DCH developed a multi-pronged communication plan. This plan incorporated five specific phases for the marketing of P4HB throughout the state. Phase 1 addressed the education of providers and CMOs. Phase 2 addressed strategies to leverage the strengths and assets of partners. Phase 3

included statewide and local consumer-based outreach strategies. Phase 4 identified current available resources in Georgia to promote prenatal care, healthy lifestyles before and during pregnancy, and smoking cessation. Finally, Phase 5 identified the evaluation plan for the P4HB program.

2. **Educate Providers.** Throughout PYs 1-9, DCH provided extensive provider education and outreach throughout the state. These related activities included distributing numerous educational and training materials to providers and to the CMOs (via in-person meetings, webinars, and conference calls). In addition, DCH provided targeted education and outreach to the Georgia Family Planning Program's (Georgia Title X Grantee) staff, and numerous provider organizations throughout the state. DCH also provided several direct trainings and hosted webinars with all 18 public health districts. In addition, DCH worked with each of the CMOs to develop and implement client provider surveys that helped inform the DCH as well as the CMOs about their client' and providers' knowledge and understanding of the P4HB program and potential barriers that existed in the program. DCH also developed a Provider Outreach Information brochure and Provider Manual addendum for P4HB. The Provider Outreach Information brochure and Provider Manual addendum provided written descriptions of the P4HB program in terms of the benefits and scope of services, reimbursement, eligibility requirements, and enrollment procedures.
3. **Consumer-Based Outreach.** DCH developed and implemented numerous consumer-based outreach activities to inform current and prospective enrollees about P4HB. These activities included 1) presentations by Right from the Start Medicaid (RSM) outreach staff about the P4HB program to interested individuals throughout the state. P4HB client outreach activities ranged from health fairs, to radio public service announcements, to church meetings and visits

to children's hospitals and youth development centers. RSM staff made one-on-one presentations as well as presented at large-scale group information sessions; 2) development and maintenance of the P4HB page on the Medicaid section of the DCH website; and 3) development of the "Letter P80," a letter sent to all Medicaid eligible women enrolled in Right from the Start Medicaid (RSM) during their eighth month of pregnancy. This letter provides women with information about the P4HB program, including eligibility, the enrollment process, and details about selecting a CMO.

4. **Agency and Stakeholder Collaborations:** DCH worked with many agencies and stakeholders to discuss P4HB. Many of these partners were engaged early to discuss implementation of the P4HB program and also to explain the purpose and scope of the FP and IPC components. These collaborators included the Georgia Family Planning System, Healthy Mothers, Healthy Babies of Georgia, the Georgia OBGYN Society, and the Georgia Perinatal Quality Collaborative. Also, DCH P4HB staff collaborated with the DCH Communications Team to develop and update the P4HB Communications Plan, including the new communications plan to be implemented since the 10-year extension approval.
5. **The Georgia Gateway integrated eligibility system:** DCH worked as a team throughout PY's 7-9 to make refinements to the Georgia Gateway integrated eligibility system so the system identifies appropriately eligible women for the FP and IPC/RM categories. Most recently, DCH worked to remove hundreds of non-eligible IPC/RM women who did not have the correct verification in the system for having a VLBW baby.
6. **Extension Application:** Throughout PYs 8-9, DCH received technical assistance from CMS to complete the P4HB extension application. In addition, CMS provided guidance to DCH on new budget neutrality calculations and the public notice process. DCH submitted a fast-track

extension request to CMS after the public notice period. The extension request was approved by CMS, effective August 29, 2019 through December 31, 2029

7. **Annual Evaluation:** DCH worked with Emory University to prepare and submit to CMS nine annual P4HB evaluation reports.

CMO Supported Activities

For PYs 1-6, P4HB enrollees were managed by three CMOs. In PY 7, a fourth CMO joined P4HB. Each CMO working with the P4HB program has their own client and provider education plans relative to the P4HB program. This information is posted on their respective websites:

<https://www.myamerigroup.com/ga/your-plan/planning-for-healthy->

<babies.html>; <http://georgia.wellcare.com/member/p4hb>;

<https://www.pshpgeorgia.com/members/planning-for-healthy-babies.html>;

<https://www.caresource.com/ga/plans/planning-for-healthy-babies/>.

The CMOs conducted the following client-related outreach efforts:

- welcome calls to newly enrolled P4HB members;
- home visits and telephone calls to IPC participants to conduct case management and to educate them on the IPC program;
- mailing of program materials (including contraceptive benefit information) to all new and existing P4HB members;
- community baby showers for expecting and new mothers that informed them about the P4HB program;
- on-site visits with high volume delivery hospitals and FQHCs to help educate women and providers about the P4HB program and its IPC component.

The CMOs took part in local and community education events to discuss the P4HB program with prospective clients and continued provider education and training regarding the P4HB program.

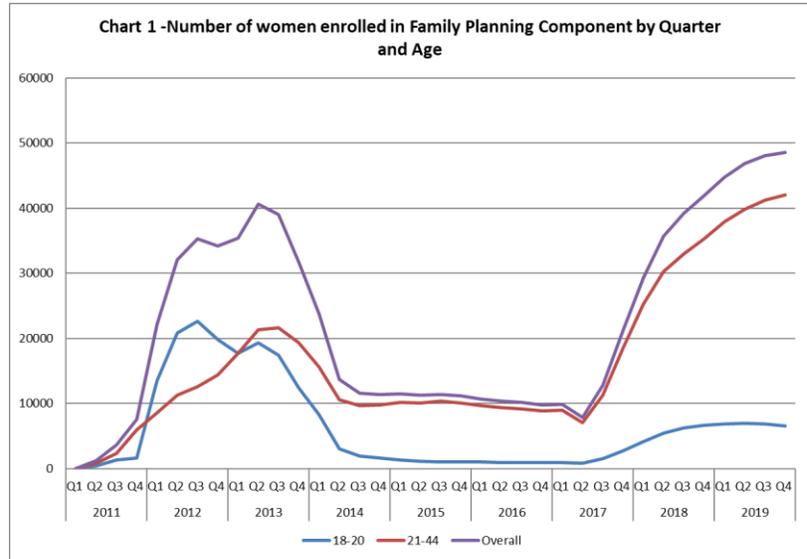
They issued provider toolkits about P4HB to new providers and discussed the P4HB program at new provider orientations.

III. ENROLLMENT OF ELIGIBLE WOMEN

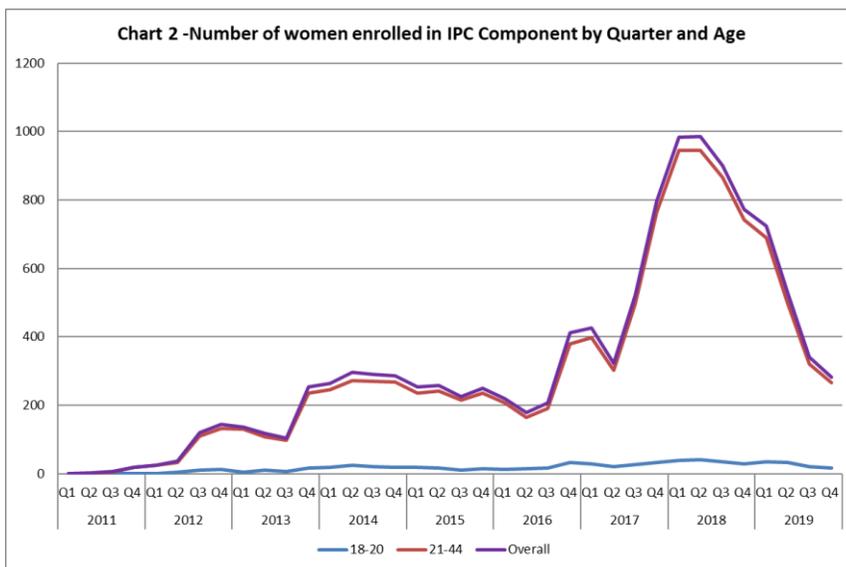
To achieve its goals, the P4HB program must enroll a significant portion of eligible women in the community; in the original concept paper for P4HB the state anticipated far higher participation rates than those observed. In the following tables we report on trends in the number/percentage eligible enrolled in the FP only and IPC/RM components in PY1 (2011) through PY9 (2019). It is important to note that the Georgia Gateway system, now fully implemented, has streamlined the application processes as it allows women to assess their eligibility for multiple public programs. Gateway system ‘cascades’ down to P4HB eligibility which is included within the Medical Assistance component of the system. As the following data show, this system had an impact on the level and growth in P4HB enrollment in both the FP only and IPC components that resembles the ‘spike’ seen in enrollment earlier in the P4HB program when the state temporarily implemented ‘auto-enrollment’ of women leaving the Right from the Start Medicaid (RSM) eligibility group.

Enrollment Trends

As shown in Chart 1, the implementation of the Gateway system beginning in Q2 of 2017 has markedly increased enrollment in the FP only component of P4HB, moving it above the peak of 40,593 in Q2 2013. As auto-enrollment

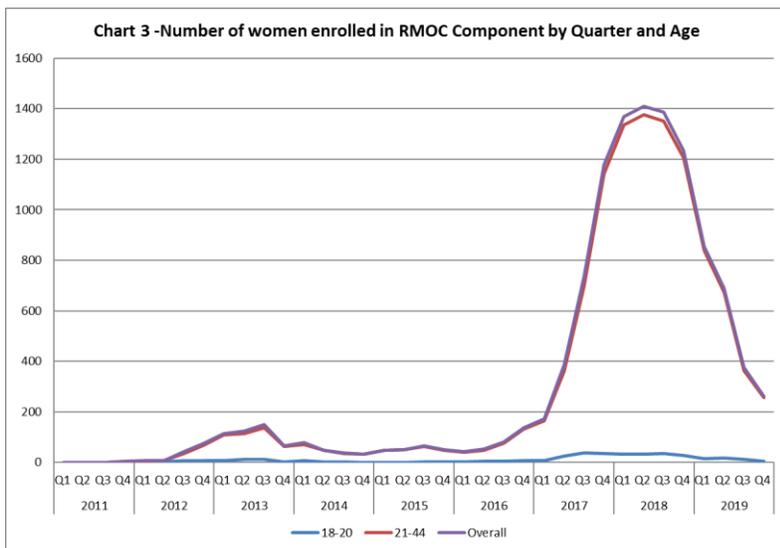


ended, the total enrolled dropped to 9,736 in Q4 2016. From there, the number of women enrolled in FP has more than quadrupled to more than 48,566 by Q4 2019. The composition of the FP only enrollees by age changed slightly as the number of 18-20 year-olds enrolled increased more than seven-fold from the end of 2016 (899) to the end of 2019 (6,547) while the number of 21-44 year-olds enrolled increased almost fivefold (from 8,837 to 42,009). The precipitous growth in FP only enrollees for both age groups (18-20 and 21-44 years) occurred from the last two quarters of 2017 forward.



There were also marked increases in IPC and Resource Mothers (RM) only enrollments from 2016 to 2018. This increase was also related to the implementation of the Georgia Gateway system. As DCH realized the

system was erroneously enrolling some of these women into the IPC component, corrections were made, and IPC enrollment plateaued and actually fell throughout 2018/2019. The data on IPC enrollment (Chart 2) shows almost a doubling from Q4 2016 (411) to Q4 2017 (797). While enrollment increased further to 983 in Q1 2018 there was a precipitous decline to 772 by Q4 2018 and further, to 281 by Q4 2019. The overall enrollment pattern for women in the IPC component was driven by women in the 21-44 age group as their numbers increased from 379 in Q4 2016 to 764 in Q4 2017. Their numbers increased in Q1 2018 (944) but declined by the end of 2019 to only 265. In contrast, IPC enrollment among those ages 18-20 had been fairly stable in the early waiver period but increased to a peak of 41 in Q2 2018. Since then, these enrollments declined markedly to only 16 by Q4 2019.



There was a similar pattern of increasing and then declining enrollment in the Resource Mothers (RM) only component of the P4HB program (Chart 3). The total number enrolled in RM only equaled 138 by the end of 2016 but increased markedly to 1,178 by Q4

2017. This was followed by marked declines to 261 by Q4 2019. The total number of IPC and RM only women enrolled at the end of 2018 (2,005) was almost four times the total number enrolled at the end of 2016 (549) but by the end of PY9, the total number of 542 is below that of PY6.

Participation Rates

As in prior reports, we used data from the American Community Survey (ACS) for each year of the waiver to estimate the number of uninsured, citizen women 18-44 years with incomes at or below 200% FPL (211% as of April 2017) to gauge the percentage of eligible women who have enrolled in P4HB. With the implementation of the ACA in 2014 and the improving economy, the number of (citizen) women with incomes meeting the 200% (211%) FPL requirement *and* uninsured declined in most of the subsequent years through 2019. [We note that the increase in the percent FPL to 211% the state began using in 2017 raised the number eligible from what it would have otherwise been in the subsequent years.] The estimated number of eligible women in the community in 2019 was 179,651 reflecting a decline of almost 40% from the 287,220 estimated number eligible in 2013.

Table 1. Enrollment of Population Eligible in the Community, 2011-2019

| Demonstration Group | Enrolled in 4 th Quarter | Population Eligible in Community ^{1,2} | Percent Eligible Enrolled |
|---|-------------------------------------|---|---------------------------|
| FP Only 2011 | 7,543 | 296,949 | 2.5% |
| 2012 P4HB Enrollment/Participation | | | |
| FP Only 2012 ³ | 34,184 | 285,927 | 12.0% |
| FP Only 2012 ⁴ | 34,184 | 155,830 | 21.9% |
| IPC/Resource Mother Only | 221 | 3,118 | 7.1 % |
| 2013 P4HB Enrollment/Participation | | | |
| FP Only 2013 ³ | 31,690 | 287,220 | 11.1% |
| FP Only 2013 ⁴ | 31,690 | 156,535 | 20.2% |
| IPC/Resource Mother Only | 318 | 3,328 | 9.6% |
| 2014 P4HB Enrollment/Participation | | | |
| FP Only 2014 ³ | 11,370 | 232,718 | 4.9% |
| FP Only 2014 ⁴ | 11,370 | 126,831 | 9.0% |
| IPC/Resource Mother Only | 317 | 3,332 | 9.5% |
| 2015 P4HB Enrollment/Participation | | | |
| FP Only 2015 ³ | 11,133 | 207,966 | 5.4% |
| FP Only 2015 ⁴ | 11,133 | 113,341 | 9.8% |
| IPC/Resource Mother Only | 300 | 3,311 | 9.1% |
| 2016 P4HB Enrollment/Participation | | | |
| FP Only 2016 ³ | 9,749 | 187,342 | 5.2% |
| FP Only 2016 ⁴ | 9,749 | 102,101 | 9.5% |
| IPC/Resource Mother Only | 549 | 3,411 | 16.1% |
| 2017 P4HB Enrollment/Participation | | | |
| FP Only 2017 ³ | 21,195 | 200,684 ⁵ | 10.6% |
| FP Only 2017 ⁴ | 21,195 | 109,373 ⁴ | 19.4% |
| IPC/Resource Mother Only | 1,975 | 3,354 | 58.9% |

| 2018 P4HB Enrollment/Participation | | | |
|---|--------|----------------------|-------|
| FP Only 2018 ³ | 41,889 | 197,603 | 21.2% |
| FP Only 2018 ⁴ | 41,889 | 107,694 ⁴ | 38.9% |
| IPC/Resource Mother Only | 2,005 | 3,221 | 62.2% |
| 2019 P4HB Enrollment/Participation | | | |
| FP Only 2019 ³ | 48,805 | 179,651 | 27.2% |
| FP Only 2019 ⁴ | 48,805 | 97,910 ⁴ | 49.8% |
| IPC/Resource Mother Only | 555 | 3,193 | 17.4% |

Notes:¹Those eligible for family planning only benefits are uninsured female citizens ages 18-44 with income \leq 200% FPL and residing in Georgia. The number of uninsured women in this age and income range was estimated using the ACS 1-year PUMS for 2011 – 2019 as shown in column 3. ²Those eligible for IPC include uninsured women 18-44 with income \leq 200% (211% 2017-2019) FPL residing in Georgia with a live born infant under 1500 grams at delivery. We use women with a VLBW infant born on Medicaid in the past two years as the denominator for this calculation in each year. Those eligible for Resource Mother only include LIM and ABD Classes of Eligibility women with a VLBW infant. We combine the enrollment counts for IPC and Resource Mother for the numerator and use all Medicaid paid VLBW births in 2018 and 2019 (2018 n = 1,583 and 2019 n = 1,610 in Table A.1 shown later) as the denominator in 2019. ³We use the numbers enrolled as of the 4th quarter of 2019 (and reported in our 4th Quarter 2019 Report) for consistency with the earlier parts of this report. ⁴This denominator adjusts for women in need of family planning services based on a report from the Guttmacher Institute. Their estimate is that 54.5% of women in the age group 13-44 needed family planning services; they count women who are sexually active, able to get pregnant but not currently pregnant or trying to get pregnant. See: <http://www.guttmacher.org/pubs/win/contraceptive-needs-2008.pdf>. We multiplied the “in the community” population by .545 to get the 155,830 for 2012, 156,535 for 2013, 126,831 for 2014, 113,341 for 2015, 102,101 for 2016, 109,373 for 2017, 107,694 for 2018, and 97,910 for 2019 as shown in column 3. ⁵ This number reflects uninsured female citizens ages 18-44 with income below or equal to the 211% FPL eligibility level set by the state as they shifted to the MAGI income measure in April 2017.

As shown above in Table 1, the percentage of those eligible who enrolled in the FP only component increased from less than 3% in 2011 to an estimated 12% of the eligible population in 2012, which represented the peak of enrollment until the most recent changes under the Georgia Gateway system and other outreach efforts. As the data show, the percentage of eligible women enrolled increased from 5.2% in 2016 to 10.6% in PY7 and further, to 27.2% in PY9. When we take into account that only an estimated 54.5% of the eligible population may be ‘in need’ of family planning services (sexually active, able to become pregnant, not currently pregnant or trying to get pregnant), the estimated percentage of eligible women ‘in need’ who enroll is much higher. This measure stood at 20% at the end of 2017 but more than doubled to almost 50% in PY9.

The trends in the percentage of women eligible for IPC/RM who actually enroll has to again be put in the context of Georgia Gateway system. Eligibility for IPC/RM only was erroneously defined as a woman (meeting the income and other criteria) who had a VLBW infant born in 2011 or later. Previously, eligible women with a VLBW infant were only enrolled after a *recent* delivery. In the above table, we counted those eligible for the IPC/RM component as women with

a Medicaid paid VLBW infant in the past two years. The percentage of these women who enrolled in the IPC or RM only components remained below 10% and fairly stable until 2016 (16%). The marked increase in 2017 to ~59% was recognized as being related to implementation of the Georgia Gateway system. The state made adjustments to the enrollment process and removed hundreds of non-eligible IPC/RM women who did not have the correct verification in the system for having a VLBW baby. The percentage of women eligible for the IPC/RM only component of P4HB who enrolled declined markedly to 17.4% in PY9. This percentage is close to that for 2016, both of which are higher than the preceding waiver years (~7 to 10%).

IV. SUMMATIVE MEMBER AND PROVIDER SURVEYS

Overview of Surveys

As part of the P4HB program, the CMOs, in collaboration with DCH, monitor member and provider overall knowledge and understanding of the program one to two times a year through an analysis of member and provider surveys. These surveys represent four CMOs, Amerigroup, CareSource, Peach State, and Well Care. The CMOs and DCH review the results of each wave of the surveys to identify areas of poor understanding about the P4HB program. Analyses of these survey results help the CMOs and DCH better understand and improve member and provider experiences with the P4HB program, as it is important to both the CMOs and DCH to identify any area that could negatively affect the satisfaction of members and providers who participate in the program. Any areas that do not meet the CMOs' performance goals are analyzed for barriers and opportunities for improvement. Although there are concerns with the low response rates for the surveys and the lack of information on representativeness of the respondents, these surveys provide DCH with an overall 'view' of member and provider involvement with the P4HB program and potential barriers to greater awareness and

involvement in the program.

Survey Methods

To date, the member and provider surveys have been administered in fourteen waves – in December 2011, April 2012, September 2012, April 2013, September 2013, May 2014, November 2014, July 2015, June 2016, April 2017, December 2017, May 2018, September 2018, and September 2019. The most recent wave of the member and provider surveys, the fourteenth wave, was conducted in September of 2019. Members identified by the CMOs as being enrolled in the P4HB program were contacted by phone for the survey. All contracted providers who participated in the P4HB program with a valid e-mail address were sent the provider survey via an online “Survey Monkey” tool. Survey responses rates for CMO members and providers remain relatively low throughout PY’s 1-9. Survey incentives were not provided to members or providers.

Survey Results

Member surveys: Member surveys addressed several key topics related to their knowledge and experience with P4HB. Questions addressed members’ reasons for their enrollment in the P4HB program, the services they have used, the services they had trouble accessing prior to enrollment in P4HB, and the types of problems encountered with accessing those services, as well as benefits of the P4HB program to the member. Overall, results indicate that the key reason members enroll in the P4HB program was for birth control or family planning services. IPC enrollees reported utilization of family planning and primary care services as reasons for enrolling. P4HB enrollees reported that the major advantages to enrolling in P4HB was to access birth control, not having to use their own money for family planning services or birth control and being able to get preventive care and family planning counseling. Members’ understanding and knowledge of the P4HB

program was limited, particularly for those members enrolled in the IPC component. All members reported some challenges with P4HB, with the most common being getting the kind of family planning services they needed or getting referrals or follow-up care. In addition, members consistently reported that they encountered difficulty either understanding where they could go to seek care under P4HB or finding a provider that accepts P4HB/Medicaid.

Provider Surveys: Due to the low response rate of providers across the program years, it is difficult to draw strong conclusions about trends in provider knowledge and needs across the survey waves. However, it is evident that providers reported a range of information needs, particularly with understanding the scope and benefits included of the P4HB program.

Additionally, providers identified certain perceived barriers of P4HB, including that: 1) the waiver does not cover the full range of family planning services; 2) the waiver does not cover referrals or follow-up care; and 3) the waiver does not cover complications of family planning services. The survey results raise the question of whether the providers responding to the surveys were primarily those with limited knowledge of the program who desired more information or even those who were more likely to include the key reproductive health services in their practices. Collaborative efforts to provide awareness and education about the program remains the goal of the outreach activities to both the member and provider communities.

V. USE OF FAMILY PLANNING SERVICES

The key pathway through which the P4HB program can impact program goals and outcomes is in improved access to family planning services for a sufficient number of women eligible in the community. In turn, it is important that women utilize effective contraceptive methods once enrolled. As noted in prior reports, the use of family planning services through the P4HB program should be in addition to services provided through other public programs, such as Title X, for the use of family planning services by *all* women of reproductive age living in Georgia and in the income, range targeted by the P4HB program to increase. Earlier, we found that services received through both Medicaid and Title X in Georgia did not increase enough to increase the percentage of women with incomes \leq 200% FPL receiving a family planning or birth control visit 2009 through 2013.¹ We continue to monitor trends in the use of effective family planning services in P4HB and Title X as discussed in the following sections.

Family Planning and Birth Control Visits by P4HB Enrollees

In this section, we report on the use of family planning services by P4HB enrolled women and users of Title X clinics through the PYs 1 through 9. When looking at utilization by P4HB enrollees it is important to note that we carefully count enrollees by requiring three continuous months of enrollment and that women are not pregnant or switched to RSM in the first 90 days and/or they did not have a Medicaid delivery <246 days from their start date. We then measure utilization of family planning services in the first month of enrollment forward.

P4HB Usage. We report here on the use of family planning services paid for by Medicaid through the P4HB program, the use of contraceptives and among users, use by relative effectiveness of the contraceptive methods. As noted in our annual reports we modified the coding of these services and contraceptive methods due to the introduction of ICD-10 diagnosis and procedure codes in

October 2015. To assure our ability to examine trends over the P4HB program period, we continue to use the same coding as in earlier years and focus on ‘early’ users (first 6 months) as early use helps prevent short interpregnancy intervals and repeat pregnancies paid by Medicaid.

The data in Table 2 reflects the percentage of P4HB enrolled women with any Medicaid family planning related visit, including visits for the additional P4HB covered services (e.g., treatment of sexually transmitted infections or primary care provider visits for IPC women) within six months of enrollment (and before evidence of a pregnancy). Among women in the FP only component, the percentage with any family planning visit in their first six months of enrollment began at a high level in 2011 at ~43%, declined to 25% in 2013 (likely due to auto-enrollment) and increased markedly to 47% in 2015/16 (likely due to discontinuation of auto-enrollment).

Table 2. Use of Family Planning and Birth Control Visits within Six Months of Enrollment among P4HB Family Planning only and IPC/RM Enrollees, 2011-2019

| Demonstration Year | Use Among P4HB Women FP Only | | | | Use Among P4HB Women IPC / Resource Mother | | | |
|--------------------|------------------------------|---|--|--|--|---|--|--|
| | N | Any Family Planning Visit in First 6 Months | Mean Visits Per User in First 6 Months | Any Visit /Service for Birth Control in First 6 Months | N | Any Family Planning Visit in First 6 Months | Mean Visits Per User in First 6 Months | Any Visit /Service for Birth Control in First 6 Months |
| 2011 | 7504 | 42.8% | 2.42 | 34.1% | 21 | 33.3% | 2.86 | 28.6% |
| 2012 | 40312 | 23.8% | 2.48 | 19.0% | 197 | 32.5% | 2.55 | 25.9% |
| 2013 | 27937 | 25.1% | 2.56 | 19.9% | 257 | 28.0% | 2.69 | 21.8% |
| 2014 | 5052 | 43.9% | 2.62 | 36.7% | 270 | 30.7% | 2.72 | 25.9% |
| 2015 | 6666 | 47.5% | 2.70 | 40.4% | 279 | 33.0% | 2.20 | 21.5% |
| 2016 | 4931 | 47.4% | 2.53 | 38.3% | 442 | 25.1% | 2.17 | 18.3% |
| 2017 | 16926 | 18.9% | 2.18 | 13.4% | 1343 | 30.2% | 2.09 | 17.3% |
| 2018 | 34863 | 19.7% | 2.15 | 13.6% | 1177 | 30.8% | 2.11 | 17.3% |
| 2019 | 24493 | 19.1% | 2.05 | 13.3% | 396 | 31.1% | 1.80 | 21.7% |

Notes: Denominator is all women ages 18-44 enrolling in P4HB during the calendar year.

This pattern was followed, however, by *striking declines* in the use of any planning family services within six months to 19.1% in PY9. As noted, the declines in usage in 2012-2013 reflected lower rates of usage among women who had been auto-enrolled into P4HB and who may not have been aware or interested in P4HB covered services. It now appears that the marked increase in

enrollment with the Georgia Gateway system has again ‘auto enrolled’ more women who are unaware and/or not interested in P4HB and hence, use rates have declined. The patterns of any visit for contraceptives ‘mirrors’ this overall pattern, showing a decline from 38% of these women having a visit/service for contraceptives in their first six months of enrollment in 2016 to ~13% with such a visit/service in 2019.

Among women enrolled in the IPC/RM only components of P4HB, the use of any family planning or other covered service within six months has been stable at ~30-31% in 2017-2019. Their use of any visit/service for contraceptives in the first six months of enrollment generally declined over the waiver period from almost 29% in 2011 to ~17% in 2018. The causes behind this decline are unclear and the PY9 measure shows an increase in any visit/service for contraceptives in the first six months to almost 22% of these enrollees.

Contraceptive Methods Used

Another way the P4HB program could be effective is to move women using some form of contraception toward one of the more effective contraceptive methods. In Table 3 below, we show the distribution of the ‘early’ users of some form of contraceptive by the WHO tiers of effectiveness 1-4 (in which Tier 1 represents the highest level of effectiveness); when a tier could not be discerned from the claims code, ‘tier not specified’ is indicated in the table. We also show the percentage of users using long-acting reversible contraceptives (LARCs) in the last column.

Table 3. Distribution of Contraceptive Methods Among Users within Six Months of Enrollment, P4HB Family Planning only and IPC/RM Only Enrollees, 2011-2019

| Demonstration Year | % of Contraceptive Methods by Tier Paid by Medicaid: P4HB – FP Only | % of Contraceptive Methods by Tier Paid by Medicaid: P4HB – IPC/Resource Mother |
|--------------------|--|--|
|--------------------|--|--|

| | N | Tier 1 | Tier 2 | Tier 3/4 | Tier Not Spec | LARC | N | Tier 1 | Tier 2 | Tier 3/4 | Tier Not Spec | LARC |
|-------------|------|--------|--------|----------|---------------|-------|-----|--------|--------|----------|---------------|-------|
| 2011 | 2560 | 23.1% | 62.5% | 2.4% | 11.9% | 19.0% | 6 | 50.0% | 33.3% | 0.0% | 16.7% | 50.0% |
| 2012 | 7663 | 16.8% | 68.8% | 3.1% | 11.3% | 14.5% | 51 | 21.6% | 66.7% | 0.0% | 11.8% | 19.6% |
| 2013 | 5573 | 21.5% | 65.2% | 2.8% | 10.5% | 18.7% | 56 | 21.4% | 69.6% | 0.0% | 8.9% | 17.9% |
| 2014 | 1852 | 20.8% | 65.7% | 2.9% | 10.6% | 17.4% | 70 | 24.3% | 71.4% | 1.4% | 2.9% | 17.1% |
| 2015 | 2695 | 18.9% | 73.6% | 1.5% | 6.0% | 17.0% | 60 | 21.7% | 70.0% | 0.0% | 8.3% | 16.7% |
| 2016 | 1891 | 18.2% | 75.4% | 0.8% | 5.6% | 16.8% | 81 | 21.0% | 76.5% | 0.0% | 2.5% | 17.3% |
| 2017 | 2263 | 19.7% | 73.8% | 0.7% | 5.8% | 18.4% | 232 | 21.1% | 71.6% | 0.0% | 7.3% | 17.2% |
| 2018 | 4738 | 17.8% | 74.3% | 1.2% | 6.6% | 16.3% | 204 | 24.5% | 68.1% | 0.5% | 6.9% | 16.2% |
| 2019 | 3246 | 18.6% | 74.9% | 0.7% | 5.7% | 17.4% | 86 | 23.3% | 69.8% | 0.0% | 7.0% | 15.1% |

*Notes: Denominator is all women ages 18-44 enrolling in P4HB and using some form of contraceptives during the calendar year.
Notes: WHO Tiers of contraceptive effectiveness: Tier 1(High effectiveness): implants, intrauterine devices, sterilization; Tier 2 (Medium effectiveness): injectable methods, patch, pills, and vaginal ring; Tier 3 and 4 (Low effectiveness): condoms, diaphragms, fertility awareness methods, spermicides; Long-acting reversible contraceptive methods (LARC) are a subset of Tier 1 methods that are reversible and include implants and intrauterine devices. Tier not specified indicates that the tier of the method could not be assigned based on the claims codes*

As the data in Table 3 show, the use of Tier 1 contraceptives among FP only users of some form of contraceptive was high in the first year of P4HB at 23% but generally declined through the P4HB waiver period ending at 18.6% in 2019. Their use of LARCs (the subset of Tier 1 methods that are reversible) followed this same pattern, starting with 19.0% in the first year and ending at 17.4% in 2019. There appears to be a consistent increase in the percentage of women/users of oral contraceptives (Tier 2) but the decline in tier unspecified may be behind this upward trend. Oral contraceptives remain the most popular among ‘early’ FP only users equal to almost 75% in 2019.

The patterns of contraceptive use among the IPC/RM only enrollees in P4HB who use within six months of enrollment are somewhat different, showing a slight increase in percent using Tier 1 methods in the most recent years. Their use of LARCs within the first six months declined from the 2012 level of ~20% to ~16-17% in more recent years and declined further to 15.1% in 2019. For these enrollees using some form of contraceptive, oral contraceptives (Tier 2) are also the dominant form of birth control at ~70% in 2019.

Use at Title X Clinics

As previously noted, we can no longer track detailed Title X funded use by individual women but use aggregate data available from the Family Planning Annual Report (FPAR), which is the

uniform reporting method used by all Title X service grantees. Since July 2014, the new Title X grantee, the Georgia Family Planning System (GFPS), is largely a set of Federally Qualified Health Centers (FQHCs) which serve a broader and different clientele than the prior grantee, the Department of Public Health (DPH). With this change, there was an increase in the amount of ‘unknown’ data for several of the key data elements. This issue has been addressed by the GFPS, reducing the amount of ‘unknown’ data in more recent years.

In Table 4 below, we show the FPAR for calendar years 2012 through 2019; data for the years 2012-2013 are *fully* from the Georgia DPH whereas data for years 2015-2019 are *fully* from the GFPS grantee. The reduction in the number of females getting family planning services that began in 2014 has been reversed, increasing to 119,711 in 2019 now higher than the 112,703 women served by DPH in 2013. In addition, the total men and women family planning users in 2019 (169,945) is higher than the number of men and women (115,307) served by DPH in 2013. We note that the composition of the Title X family planning users has changed with the change in service provide from DPH to GFPS; the percent of male clients served has increased to ~30% from only ~2% in 2013 while the percentage of those < 101% FPL and/or uninsured has declined. Those < 101% FPL declined from ~84% in 2012 to 66% in 2019 while the percent uninsured declined from 68% to 36%.

Although we are not able to distinguish P4HB enrollees in this data, around 84% of the family planning users with known income data in the FPAR reports have income $\leq 250\%$ and hence, likely eligible for P4HB. Just over 80% of the female family planning users seen by GFPS in 2019 were ‘at risk’ of becoming pregnant (excludes those already pregnant, seeking pregnancy or abstinent).

Table 4. Title X Users of Family Planning Services During 2012-2019

| | FPAR Data 2012 ¹ | | FPAR Data 2013 ¹ | | FPAR Data 2014 ¹ | | FPAR Data 2015 ¹ | | FPAR Data 2016 ¹ | | FPAR Data 2017 ¹ | | FPAR Data 2018 ¹ | | FPAR Data 2019 ¹ | |
|---|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|-----------------------------|-------|
| | # | % | # | % | # | % | # | % | # | % | # | % | # | % | # | % |
| Number and % of Family Planning Users by Gender | | | | | | | | | | | | | | | | |
| Female | 123,967 | 97.6% | 112,703 | 97.7% | 97,483 | 95.3% | 66,912 | 77.5% | 90,697 | 71.4% | 104,290 | 72.5% | 106,327 | 72.2% | 119,711 | 70.4% |
| Male | 3,025 | 2.4% | 2,604 | 2.3% | 4,840 | 4.7% | 19,397 | 22.5% | 36,371 | 28.6% | 39,503 | 27.5% | 41,037 | 27.8% | 50,234 | 29.6% |
| Total | 126,992 | | 115,307 | | 102,323 | | 86,309 | | 127,068 | | 143,793 | | 147,364 | | 169,945 | |
| Number and % of Female Family Planning Users at Risk⁷ of Unintended Pregnancy (UP) | | | | | | | | | | | | | | | | |
| At Risk of Unintended Pregnancy | 108,449 | 87.5% | 98,512 | 87.4% | 84,339 | 86.5% | 60,745 | 90.8% | 72,730 | 80.2% | 86,433 | 82.9% | 85,000 | 79.9% | 98,920 | 82.6% |
| Not at Risk of Unintended Pregnancy | 15,518 | 12.5% | 14,191 | 12.6% | 13,144 | 13.5% | 6,167 | 9.2% | 17,967 | 19.8% | 17,857 | 17.1% | 21,327 | 20.1% | 20,791 | 17.4% |
| Total | 123,967 | | 112,703 | | 97,483 | | 66,912 | | 90,697 | | 104,290 | | 106,327 | | 119,711 | |
| Number and % of Female Family Planning Users Less than 25 Years with Chlamydia Testing | | | | | | | | | | | | | | | | |
| Tested for Chlamydia | 35,165 | 59.6% | 29,478 | 55.9% | 16,729 | 40.7% | 7,073 | 32.9% | 11,401 | 37.4% | 13,915 | 44.7% | 13,891 | 43.9% | 17,456 | 48.2% |
| Not Tested for Chlamydia | 23,863 | 40.4% | 23,296 | 44.1% | 25,025 | 59.3% | 14,420 | 67.1% | 19,052 | 62.6% | 17,208 | 55.3% | 17,725 | 56.1% | 18,769 | 51.8% |
| Total | 59,028 | | 52,774 | | 41,754 | | 21,493 | | 30,453 | | 31,123 | | 31,616 | | 36,225 | |
| Number and % of Family Planning Users by Income in Relation to Federal Poverty Level (FPL)² | | | | | | | | | | | | | | | | |
| Income <101% FPL | 106,751 | 84.1% | 98,811 | 85.7% | 78,118 | 85.0% | 40,103 | 72.8% | 77,139 | 75.3% | 100,035 | 72.9% | 103,377 | 71.6% | 108,289 | 66.2% |
| Income 101% to 250% FPL | 19,092 | 15.0% | 15,745 | 13.7% | 12,646 | 13.8% | 11,745 | 21.3% | 18,323 | 17.9% | 25,813 | 18.8% | 27,512 | 19.4% | 28,868 | 17.6% |
| Income Over 250% FPL | 1,149 | 0.9% | 751 | 0.7% | 1,100 | 1.2% | 3,265 | 5.9% | 6,990 | 6.8% | 11,394 | 8.3% | 13,586 | 9.4% | 26,455 | 16.2% |
| Total (Known Income Level) | 126,992 | | 115,307 | | 91,864 | | 55,113 | | 102,452 | | 137,242 | | 144,475 | | 163,612 | |
| UK/NR/Missing | 0 | 0.0% | 0 | 0.0% | 10,459 | 10.2% | 31,196 | 36.1% | 24,616 | 19.4% | 6,551 | 4.6% | 2,889 | 2.0% | 6,333 | 3.7% |
| Total | 126,992 | | 115,307 | | 102,323 | | 86,309 | | 127,068 | | 143,793 | | 147,364 | | 169,945 | |
| Number and % of Family Planning Users by Insurance Status | | | | | | | | | | | | | | | | |
| Public Insurance | 19,716 | 16.3% | 20,784 | 18.8% | 22,393 | 23.2% | 24,719 | 29.9% | 37,305 | 29.4% | 42,128 | 29.3% | 40,052 | 27.3% | 47,962 | 28.3% |
| Private Insurance | 18,701 | 15.5% | 16,311 | 14.8% | 14,973 | 15.5% | 23,753 | 28.8% | 37,717 | 29.7% | 45,797 | 31.9% | 49,673 | 33.8% | 60,712 | 35.8% |
| Uninsured | 82,223 | 68.2% | 73,313 | 66.4% | 59,130 | 61.3% | 34,105 | 41.3% | 51,914 | 40.9% | 55,699 | 38.8% | 57,249 | 39.0% | 61,080 | 36.0% |
| Total (Known Insurance Status) | 120,640 | | 110,408 | | 96,496 | | 82,577 | | 126,936 | | 143,624 | | 146,974 | | 169,754 | |
| UK/NR/Missing | 6,352 | 5.0% | 4,899 | 4.2% | 5,827 | 5.7% | 3,732 | 4.3% | 132 | 0.1% | 169 | 0.1% | 390 | 0.3% | 191 | 0.1% |
| Total | 126,992 | | 115,307 | | 102,323 | | 86,309 | | 127,068 | | 143,793 | | 147,364 | | 169,945 | |
| Number and % of Female Family Planning Users at Risk of Unintended Pregnancy by Effectiveness of Primary BC Method After Visit | | | | | | | | | | | | | | | | |
| Most Effective Permanent Methods (Tier 1, Non-reversible) ³ | 3,095 | 3.0% | 1,629 | 1.7% | 1,866 | 2.6% | 5,345 | 20.0% | 9,500 | 17.0% | 11,321 | 21.4% | 11,762 | 20.0% | 13,266 | 20.3% |
| Most Effective Reversible Methods (Tier 1, Reversible) ⁴ | 8,273 | 7.9% | 8,711 | 9.1% | 6,770 | 9.5% | 4,010 | 15.0% | 10,261 | 18.4% | 8,671 | 16.4% | 9,102 | 15.5% | 9,974 | 15.3% |
| Moderately Effective Methods (Tier 2) ⁵ | 74,947 | 71.4% | 68,699 | 71.9% | 53,233 | 74.9% | 11,020 | 41.3% | 20,334 | 36.5% | 15,924 | 30.1% | 17,035 | 29.0% | 16,906 | 25.9% |
| Less Effective Methods (Tier 3,4) ⁶ | 18,599 | 17.7% | 16,567 | 17.3% | 9,243 | 13.0% | 6,293 | 23.6% | 15,631 | 28.0% | 16,971 | 32.1% | 20,908 | 35.6% | 25,145 | 38.5% |
| Total (Known Birth Control Method) | 104,914 | | 95,606 | | 71,112 | | 26,668 | | 55,726 | | 52,887 | | 58,807 | | 65,291 | |
| UK/NR/Missing/None | 3,55 | 3.3% | 2,906 | 2.9% | 13,227 | 15.7% | 34,077 | 56.1% | 17,004 | 23.4% | 33,546 | 38.8% | 26,193 | 30.8% | 33,629 | 34.0% |
| Total | 108,449 | | 98,512 | | 84,339 | | 60,745 | | 72,730 | | 86,433 | | 85,000 | | 98,920 | |

¹ Family Planning Annual Report (FPAR) data as reported by the Georgia Title X grantee. The Title X grantee changed 7/1/2014. Hence, 2014 data are from two different sources.

² Federal Poverty Level, as determined by reported household income relation to Federal Poverty Guidelines

³ WHO Tiers of contraceptive effectiveness: Tier 1 (high effectiveness), non-reversible methods include sterilization by any method.

⁴ WHO Tiers of contraceptive effectiveness: Tier 1 (high effectiveness), reversible methods include LARC methods, namely implants and intrauterine devices.

⁵ WHO Tiers of contraceptive effectiveness: Tier 2 (medium effectiveness) methods include diaphragms, injectable methods, patch, pills, and vaginal ring.

⁶ WHO Tiers of contraceptive effectiveness: Tier 3/4 (low effectiveness) methods include condoms, fertility awareness methods, and spermicides.

⁷ Women at risk excludes those who are pregnant, seeking pregnancy or abstinent.

But of these, 34% were not using or their method of contraception was unknown/not reported; this is a dramatic difference from the 3% not using or using an unknown/not reported method in 2013. Based on those with *known* data on contraceptive method, the utilization of Tier 1 methods changed, with both the percentage using *reversible* methods (LARCs) and *non-reversible* methods increasing from 2012-2014 to 2015-2019. Specifically, the percentage reporting a Tier 1, reversible (LARCs) method increased from ~ 7.9-9.5% in 2012-2014 to 15-16.4% in 2015-2019 and the percentage reporting a Tier 1, *non-reversible* (sterilization by any method) increased from 1.7-3.0% in 2012-2014 to ~20-21% over the 2015-2019 period. Among those with known data on contraceptive methods, there has also been a shift in their use of Tier 2 methods (from 41% in 2015 to ~26% in 2019) while increasing their use of the less effective (Tier 3 & 4) methods from 23.6% in 2015 to 38.5% in 2019. It is difficult to draw conclusions about the overall patterns of contraceptive use within the Title X system over time without knowing the composition of usage among *all* 'at risk' female family planning users, particularly given the large percentage of clients who are not using a method of contraception or who are using an unknown/not reported method for the period 2015-2019; however, the substantial increase in Tier 1, *non-reversible* (sterilization by any method) and the increase in less effective methods among GFPS clientele is of note.

In prior reports, we noted a decline in the percentage of female family planning users less than 25 years of age who were tested for chlamydia 2014 to 2015. In the more recent data, there are reported increases and yet, the ~48% receiving this screen in 2019 is still lower than the 56-59% reported as being screened in the 2012-2013 DPH data. A decline in this testing is a concern given that the screening of asymptomatic women under age 25 for chlamydia is a long-standing recommendation of the United States Preventive Services Task Force² and is included as a HEDIS (Health Plan Employer Data and Information Set) measure since 2000. *Chlamydia trachomatis* is

the most common bacterial sexually transmitted infection in the U.S. and has numerous adverse consequences to reproductive health.³

VI. USE OF SERVICES BY IPC AND RM ONLY WOMEN

As noted in the original concept paper for P4HB, women who have a VLBW delivery are likely to have unrecognized and/or poorly managed chronic health conditions, infections, anemia, substance use and other health issues. Access to health care before and between pregnancies is recognized as crucial for improving US birth outcomes⁴⁻⁵ and as especially important for women with chronic health conditions⁶ and for women with prior adverse birth outcomes.⁷ In particular, experiencing an adverse outcome, such as VLBW delivery is among the strongest predictors for future adverse pregnancy health outcomes,⁸ underscoring the critical importance of the receipt of interpregnancy care, especially care for chronic health conditions. Substance use in the interconception periods, for example, predicts substance use in the prenatal period (of a subsequent pregnancy). Intervention to reduce tobacco, alcohol, and drug use in the interconception period is critical for the health of the woman, subsequent pregnancies, and other children living in the home who would be exposed to second-hand smoke.⁹

The goal of the IPC component of the P4HB program is to help these women maintain or improve their health during the following enrollment period by providing access to case management and expanded primary care health services noted earlier. The goal of the RM only component of the P4HB program is to offer case management and outreach services to women who deliver a VLBW infant who are already covered by Georgia LIM (Low Income Medicaid) or ABD (Aged, Blind and Disabled) following the index delivery. Finally, by providing family planning and services

and contraceptive methods the interpregnancy periods for a subsequent birth should be longer and potentially, clinically appropriate (>18, > 24 months).

In this Summative Report we show data on the types of chronic health conditions for which these women are seeking and receiving care under the P4HB program. We analyze data for women ever enrolled in the IPC/RM only components 2011 through June 2019 in order to observe their utilization postpartum for up to 360 days.

IPC and RM Only Service Use Postpartum and Interpregnancy

We assessed the continuous enrollment of IPC/RM only enrollees following the index VLBW delivery along with their utilization of services during a 90 day, 180, and 360 day follow-up period. As seen in Table 5, the percentage who remained continuously enrolled in the RM only group through 360 days was higher at ~90% (1,567 of 1,723) compared to the ~72% of IPC enrollees (993 of 1,385) remaining continuously enrolled through 360 days after delivery. While a quite low percentage of both groups received an encounter coded as a postpartum visit, the visit tended to occur during the first 90 days and was still higher for IPC compared to RM only women (34.8% compared to 23.7%) by 360 days. Rates of cervical cancer screening increased over the longer postpartum enrollment period for both groups and was similar (~23-26%) at the end of 360 days. Family planning counseling also increased over time but was only ~10% for both groups at the end of 360 days.

Rates of utilization of any contraceptive method was higher in each postpartum period for the IPC compared to RM only women but less than half (45.8%) had used any method by 360 days compared to ~40% of RM only women. It is important to note that a significant percentage of both IPC and RM only women receive contraceptive services in the first 90 days following delivery. Use of contraceptives by WHO tiers of effectiveness was quite similar for the IPC and

RM only women through 360 days, with both groups most commonly utilizing Tier 2 methods. The receipt of LARCs within 90 days was higher for IPC women at 8.5% than RM only women at 5.8% but increased slightly for both groups to 11.3% for IPC women and 8.4% for RM only women by 360 days.

Important to the goals of the IPC program, services related to the management of chronic health conditions such as diabetes, mental health/substance abuse and hypertension were received by IPC and RM only women starting in the 90 days post-delivery and increasing through 360 days. Just over 9% of all 2011-2019 IPC enrollees received any diabetes related service, approximately 30% received services related to hypertension while approximately 24% received services related to any mental health or substance abuse related condition by 360 days post-delivery. The patterns for RM only women were comparable at approximately 15% receiving services for diabetes and ~28-30% for either mental health/substance abuse or hypertension. The receipt of dental care by 360 days post-delivery is low at approximately 10% for IPC women and somewhat higher at 15% for RM only women.

Table 5. Receipt of Postpartum Visit and Interpregnancy Care Services among IPC and RM only Women with VLBW Delivery and Enrolling 2011 through June 2019

| | IPC | | | RM Only | | |
|--|--------------------------------|---------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | Delivery to 90-Days Post (RSM) | Delivery to 180-Days Post (IPC) | Delivery to 360-Days Post (IPC) | Delivery to 90-Days Post (RSM) | Delivery to 180-Days Post (RM) | Delivery to 360-Days Post (RM) |
| N Continuously Enrolled in Medicaid | 1385 | 1191 | 993 | 1723 | 1698 | 1567 |
| Postpartum Service | | | | | | |
| Postpartum care visit | 35.2% | 34.3% | 34.8% | 23.4% | 23.3% | 23.7% |
| Receipt of cervical cancer screening | 11.3% | 11.9% | 22.7% | 8.9% | 14.0% | 25.7% |
| Family planning counseling | 6.6% | 7.5% | 10.1% | 4.5% | 6.6% | 10.2% |
| Dental Care** | 5.2% | 6.5% | 9.5% | 6.1% | 9.9% | 16.5% |
| Any diabetes related service | 6.4% | 6.5% | 9.5% | 6.2% | 8.8% | 15.1% |
| Any hypertension related service | 27.7% | 28.2% | 30.1% | 19.8% | 22.1% | 27.6% |
| Any mental health or substance abuse related service | 19.4% | 21.0% | 24.3% | 17.4% | 22.2% | 30.5% |

| Contraceptive Method | | | | | | |
|-----------------------------|-------|-------|-------|-------|-------|-------|
| Tier 1 | 17.0% | 18.1% | 18.9% | 13.2% | 14.6% | 16.9% |
| Tier 2 | 20.1% | 21.2% | 24.5% | 16.3% | 19.0% | 20.9% |
| Tier 3/4 | 0.4% | 0.3% | 0.5% | 0.1% | 0.1% | 0.1% |
| Tier Unspecified | 1.2% | 1.6% | 1.9% | 1.5% | 1.8% | 1.7% |
| Any Method | 38.8% | 41.3% | 45.8% | 31.0% | 35.5% | 39.6% |
| Subsets of Tier 1 | | | | | | |
| LARC | 8.5% | 9.2% | 11.3% | 5.8% | 6.8% | 8.4% |
| Sterilization | 8.5% | 9.0% | 7.7% | 7.4% | 7.8% | 8.5% |

^<.05, ^^<.01 Chi-Square P-value

*Denominator is IPC, RM only women with delivery of VLBW infant and enrolling in demonstration years 2011 through June 2019. Contraceptive Tiers have been identified in other tables in this report. Tier 1, 2, 3/4, and Unspecified are mutually exclusive. If claims for more than one type during post-partum period, use is categorized into most effective method.

** Dental care includes those services covered for IPC and RM only women.

IPC and RM Only Service Use Postpartum and Interpregnancy among Those with Chronic Conditions

In the data below (Table 6) we examine service utilization *specifically* among the subgroup of the IPC/RM women in Table 5 that we identified as having evidence of either of two prevalent and impactful conditions--hypertension or diabetes—based on either vital records or claims during their pregnancy. Using vital records or ICD/CPT codes, we estimated that approximately 32% of women in the IPC group (450/1385) and approximately 26% of the RM only (441/1723) group was affected by hypertension (gestational or pre-gestational) or diabetes (gestational or pre-gestational).

Table 6. Receipt of Post-Partum Visit and Interpregnancy Care Services among IPC and RM only Women with VLBW Delivery Enrolling 2011 through June 2019 and Evidence of Hypertension or Diabetes Pre or During Pregnancy

| | IPC | | | RM Only | | |
|--|--------------------------------|---------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------------------|
| | Delivery to 90-Days Post (RSM) | Delivery to 180-Days Post (IPC) | Delivery to 360-Days Post (IPC) | Delivery to 90-Days Post (RSM) | Delivery to 180-Days Post (RM) | Delivery to 360-Days Post (RM) |
| N Continuously Enrolled in Medicaid | 450 | 379 | 312 | 441 | 435 | 399 |
| Postpartum Service | | | | | | |
| Postpartum care visit | 45.1% | 45.7% | 46.79% | 40.8% | 40.7% | 40.6% |
| Receipt of cervical cancer screening | 14.0% | 14.8% | 25.0% | 12.7% | 17.2% | 31.1% |
| Family planning counseling | 8.2% | 9.2% | 12.2% | 7.3% | 9.9% | 14.3% |
| Dental care** | 6.0% | 7.7% | 10.9% | 7.5% | 10.3% | 15.3% |
| Any diabetes or hypertension related service | 74.2% | 74.1% | 77.2% | 67.4% | 69.9% | 72.2% |

| | | | | | | |
|--|-------|-------|-------|-------|-------|-------|
| Any mental health or substance abuse related service | 20.9% | 23.8% | 25.6% | 22.0% | 27.1% | 33.3% |
| Contraceptive Method | | | | | | |
| Tier 1 | 24.2% | 25.3% | 26.6% | 24.9% | 26.4% | 27.3% |
| Tier 2 | 22.9% | 25.1% | 28.5% | 19.5% | 22.3% | 24.8% |
| Tier 3/4 | 0.2% | 0.3% | 0.3% | 0.2% | 0.2% | 0.3% |
| Tier Unspecified | 1.1% | 1.6% | 2.9% | 1.8% | 1.6% | 1.8% |
| Any Method | 48.4% | 52.2% | 58.3% | 46.5% | 50.6% | 54.1% |
| Subsets of Tier 1 | | | | | | |
| LARC | 10.7% | 11.1% | 13.8% | 10.0% | 10.8% | 12.0% |
| Sterilization | 13.6% | 14.3% | 12.8% | 15.0% | 15.6% | 15.3% |

***Denominator is IPC, RM only women with delivery of VLBW infant and enrolling in demonstration years 2011 through June 2019. Contraceptive Tiers have been identified in other tables in this report. Tier 1, 2, 3/4, and Unspecified are mutually exclusive. If claims for more than one type during post-partum period, use is categorized into most effective method. ** Dental care includes those services covered for IPC and RM only women.*

In comparing the data in Tables 5 and 6, a higher percentages of those with gestational or pre-gestational hypertension or diabetes received a postpartum visit compared to all IPC enrollees (45% versus ~35%) at delivery or 360 days post-delivery (~47% versus ~35). Around 77% of IPC women with either gestational or pre-gestational hypertension or diabetes received services for one or both of these conditions and this is a bit higher than the ~72% of RM only women receiving these services through LIM or ABD Medicaid coverage. Receipt of cervical cancer screening or family planning counseling services was higher among IPC with gestational or pre-gestational hypertension or diabetes than for all IPC enrollees; this also held for the RM only women with these conditions.

The receipt of any mental health or substance abuse related services within 360 post-delivery among IPC women with gestational or pre-gestational hypertension or diabetes was ~26% slightly lower than the 33% of RM only with these chronic conditions receiving such services. This indicates that both groups of women with VLBW deliveries have not only the hypertensive/diabetes chronic conditions but also, a wider array of conditions (e.g., smoking/substance abuse, depression) that need management through their remaining reproductive years.

Important to achieving clinically appropriate interpregnancy intervals, there was evidence of differential utilization of contraceptive services among IPC and RM only enrollees with gestational or pre-gestational hypertension or diabetes compared to IPC and RM only enrollees overall. A greater percentage of those in the RM only and IPC groups with hypertensive or diabetes disorders utilized any method of contraception by 360 days (~58%) compared to IPC/RM only women overall. This finding was driven by higher utilization of Tier 1 methods (both LARC and sterilization) among IPC women with hypertensive or diabetes chronic condition status ~27% versus ~19% compared to all IPC women. This pattern also held for the RM only women with chronic conditions.

VII. OUTCOMES AMONG P4HB PARTICIPANTS

Averted Births

Compared to Section 1115 Family Planning waivers in other states, the P4HB program has had a budget neutrality requirement that was not based on averted births but rather on a ‘shifting’ of the birth weight distribution such that the total costs to the Medicaid program supported by the federal matching rate would be lowered from what it would otherwise be. While the count of ‘averted’ births is therefore not central to the calculation of budget neutrality on a quarterly or annual basis under the P4HB program, it reflects whether the demonstration achieved its secondary and tertiary goals as noted earlier.

In Table 7 below, we present an estimate of the number of births that the state would have ‘expected’ to otherwise see among participants in the FP only component of the P4HB program in

each year of the demonstration. The ‘expected number’ was based on the projected fertility rate among women 18-44 years of age with incomes at or below 200% FPL and uninsured as reported in the Planning for Healthy Babies’ Concept Paper in the initial application process.¹⁰

Table 7. An Estimate of Averted Births among the P4HB Demonstration Population, PY2 through PY9

| Demonstration Year | Number of ‘Expected’ Births Among Participants ¹ | Number of Deliveries/Live Births to Participants ² | Number of ‘Averted’ Births |
|--------------------|---|---|----------------------------|
| 2012 | 1,207 | 354 | 853 |
| 2013 | 5,505 | 1,814 | 3,691 |
| 2014 | 5,121 | 1,799 | 3,322 |
| 2015 | 1,870 | 475 | 1,395 |
| 2016 | 1,829 | 459 | 1,370 |
| 2017 | 1,648 | 410 | 1,238 |
| 2018 | 3,707 | 669 | 3,038 |
| 2019 | 7,023 | 1,669 | 5,354 |
| Total | 27,910 | 7,649 | 20,261 |

¹Based on fertility rates from the concept paper developed in application process: http://dch.georgia.gov/sites/dch.georgia.gov/files/imported/vgn/images/portal/cit_1210/33/52/156793595PlanningforHealthyBabiesProgram121709Final.pdf We used the expected fertility rates in that report for PYs 1-4 and the expected rate for PY 5 (160/1000) for PYs 5-9² Reflects the count of all deliveries of a live born in all three components in each PY for women enrolled in Demonstration at the end of the prior PY, but includes only those counted based on the methods described in prior reports. If stillbirth and fetal deaths to women in all three components of the program are counted the total in 2019 would be higher, for example, at 2,013.

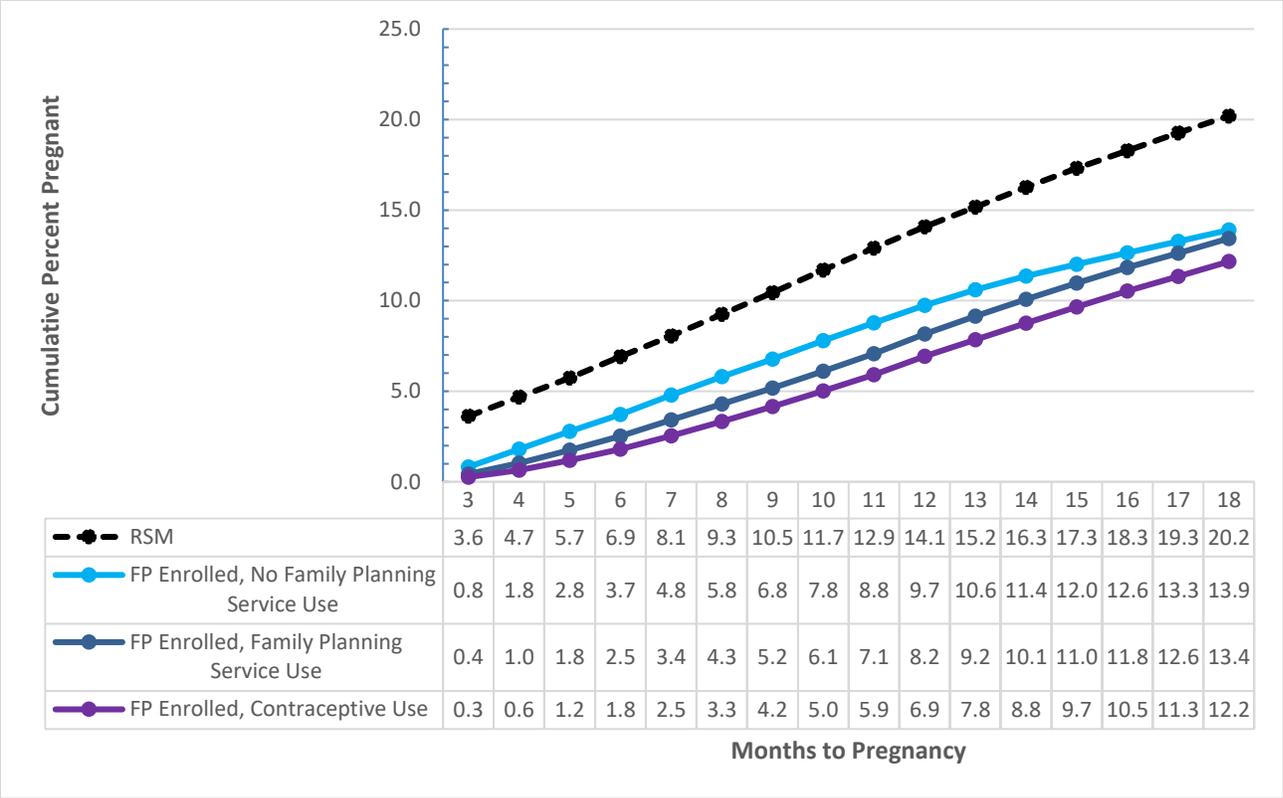
We apply the projected fertility rate to the counts of enrollees at the end of the prior PY (e.g., 2018) to derive the number in Column 1 and then subtract the count of actual births to P4HB enrollees in the current PY (e.g., 2019) shown in Column 2, to derive the averted births in Column 3. (Averted births were not estimated for 2011 since P4HB could not prevent births except perhaps, in the last quarter of 2011). Based on these calculations, there has been a positive number of ‘averted’ births in each PY beginning in 2012 at 853 and averaging over 2,500 per year. Averted births will be larger, the larger the number of enrollees and the lower the count of births to P4HB enrollees in a given PY. This is reflected in the estimate for 2019 where the expected number of births, based on the very large number of enrollees at the end of 2018, is 7,023 and the actual

number of births is 1,669, resulting in 5,354 averted births. The total number of averted births estimated in this manner over PY1 through PY9 is 20, 261 a significant number of births that would otherwise be paid for by Georgia's Medicaid program.

We note that the births counted here include births to P4HB enrollees that could be due to a pregnancy after the first 18 months of their enrollment in P4HB. Since an appropriate interpregnancy interval would be one of 18 months or more, it could be argued that these births are intended or optimally-timed/well-spaced and should not be counted. Hence, the number of 'averted' unintended births could be under counted in the above calculations. Still the positive number of averted births in Table 7 indicate substantial savings in maternal and infant costs at delivery from a lower-than-expected birth rate among P4HB enrollees.

P4HB Participants and Non-Participants. In this section, we report on outcomes of pregnancy or delivery for women after they enroll in a component of P4HB. We organized the data by annual cohorts representing the woman's initial enrollment into the P4HB program so we can follow them from their initiation in P4HB to a given outcome (e.g., pregnancy). The charts reflect data for all 2011-2018 cohorts of P4HB FP only enrollees and for comparison purposes, RSM women with an index birth in 2011-2018 but who never enrolled in P4HB. We show the cumulative percentage of enrolled women with evidence of a new pregnancy by months 3 through 18 post their enrollment.

Chart 4. Cumulative Months to Pregnancy for RSM Non-Enrollees and P4HB Family Planning Only Enrollees 2011-2018 by User Status



FP Only Enrollees. Data in Chart 4 show that the percentage of repeat pregnancy by 18 months was consistently lower for RSM women enrolled in the FP only component of P4HB than for the comparison group of RSM women who do not enroll. By the eighteenth month, ~20% of RSM women who did not enroll in P4HB had evidence of a pregnancy compared to the 12-14% of FP only enrollees. Among FP only enrollees who used *any* family planning services, this percentage is 13.4% and among those who used contraceptives, 12.2%. The percentage of FP only enrollees who used contraceptives and have a very short interpregnancy interval of 6 months is 1.8%, lower than for those enrolling who did not use family planning services (3.7%); both percentages are certainly lower than those RSM mothers who did not enroll (~7%). The difference in pregnancies within 12 months of enrollment between those who used contraceptives (6.9%) and those who did not use any family planning service (9.7%) was larger.

Based on the above chart, the participation of RSM in the FP only component appears to lead to lower rates of short interpregnancy intervals. It is important for the state to facilitate enrollment of those eligible for this component of P4HB as longer interpregnancy intervals are associated with better maternal and infant outcomes. In Table 8 below, we show the distribution of birthweight outcomes for those RSM women who did participate in the FP only component postpartum and in turn, for those using family planning and specifically, use LARCs. Of those participating and not using family planning services, 85.9% had a normal birth weight infant in a subsequent live birth at either a ≤ 12 or ≤ 18 month interpregnancy interval. This compares to a slightly higher percentage (~86%) with a normal birth weight infant among users of any family planning and a significantly ($p < .10$) higher percentage (91-92%) with a normal birth weight infant among those using LARCs.

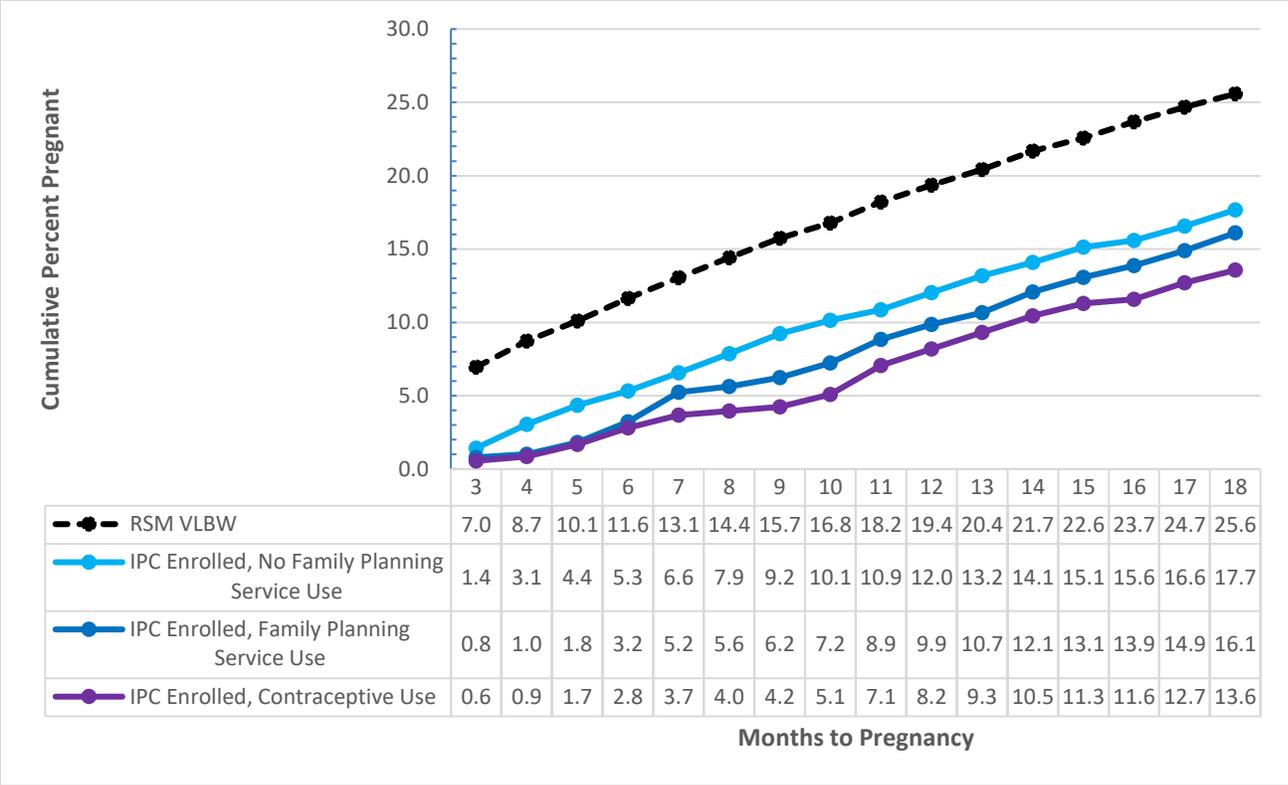
Table 8. Birthweight Outcomes in Subsequent Deliveries among RSM Enrolling Postpartum in the FP Only Component of P4HB 2013-2016, by Utilization Status

| Family Planning Only Enrollees (2013 – 2016) | Live Births to Women Pregnant \leq 12 Months from P4HB Start | | | | Live Births to Women Pregnant \leq 18 Months from P4HB Start | | | |
|---|---|------|--------|---------|---|------|--------|---------|
| | VLBW | LBW | Normal | Unknown | VLBW | LBW | Normal | Unknown |
| No Family Planning Utilization | 1.5% | 8.5% | 85.9% | 4.0% | 1.7% | 8.6% | 85.9% | 3.9% |
| Family Planning Utilization | 1.4% | 8.4% | 86.1% | 4.1% | 1.6% | 7.9% | 86.6% | 3.9% |
| LARC Utilization | 0.9% | 4.4% | 92.0%^ | 2.7% | 1.1% | 5.6% | 91.1%^ | 2.2% |

Chi-Square (Reference Group: No Family Planning Utilization): ^ P-value < 0.10, ^^ P-value < 0.05, ^^^ P-value < 0.01

IPC Enrollees. In Chart 5 below we show the cumulative repeat pregnancy percentage through the eighteen-month follow-up period for women with the delivery of a VLBW and enrollment in the IPC component of P4HB. These data indicate that IPC enrollees have a consistently lower cumulative repeat pregnancy percentage through the entire eighteen-month follow-up period than a comparison group of RSM women with a VLBW delivery who did not enroll in P4HB.

Chart 5. Cumulative Months to Pregnancy for RSM with VLBW Delivery Non-Enrollees and IPC Enrollees 2011-2018 by User Status

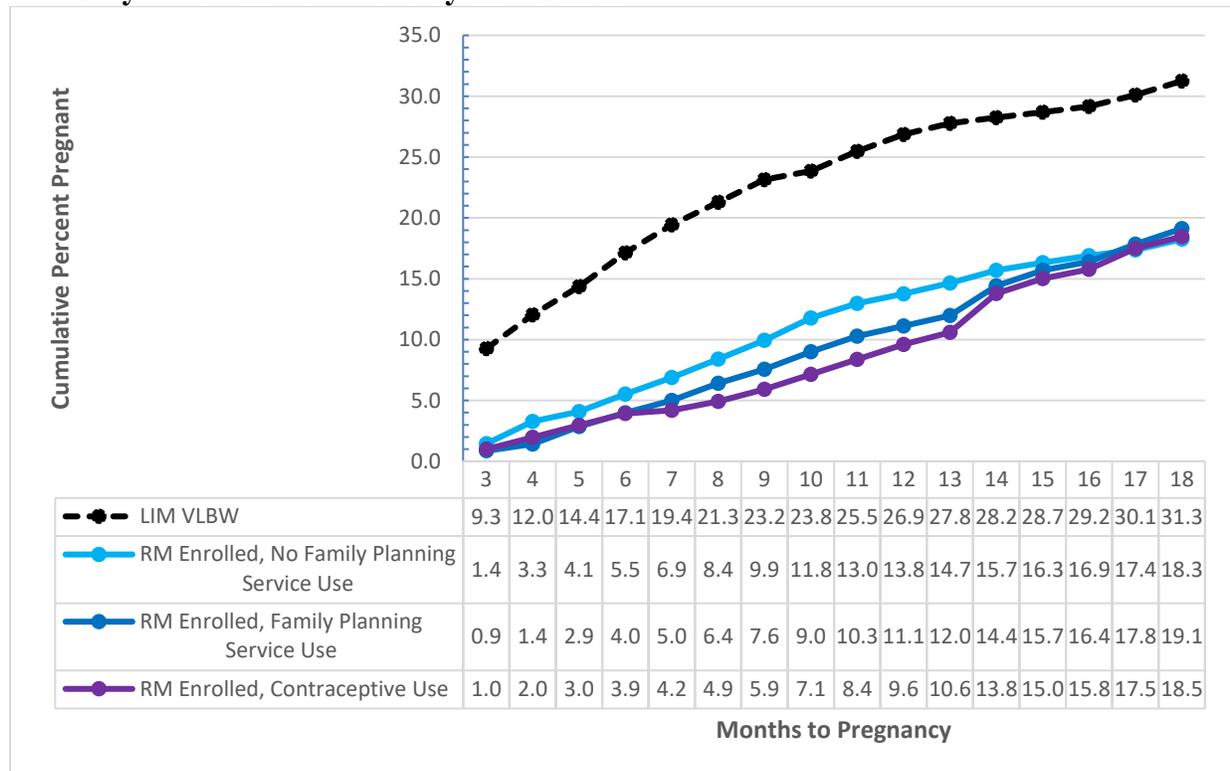


For all groups, the pregnancy observed is a new pregnancy following an index birth with a VLBW outcome. Again, utilization of covered services reduced the likelihood of a repeat pregnancy among IPC enrollees. Among IPC users of any family planning services, ~10% had a repeat pregnancy by 12 months compared to ~19% of the RSM comparison group; among users of any contraceptive method, this percentage was lower still at 8.2%. By 18 months, ~26% of the RSM non-enrollee comparison group had a repeat pregnancy compared to ~14% of IPC using any contraceptive method, a 12 percentage-point difference.

RM Only Enrollees. In Chart 6 below, we show the cumulative percentage of LIM with a VLBW delivery but not enrolling in the RM only component with a repeat pregnancy compared to the percentage of RM only women after enrollment in P4HB. The percentage with a repeat pregnancy by the 6 months was 17.1 % of those who did not enroll compared to only 6% of those enrolling

and only 4% of those enrolling and using contraceptives. By 18 months, the difference between those not enrolling (31.3%) and those enrolling and using some family planning services (18.5%) was 12.7 percentage points.

Chart 6. Cumulative Months to Pregnancy for LIM with VLBW Delivery Non-Enrollees and RM Only Enrollees 2011-2018 by User Status



Outcomes among IPC Participants versus Non-Participants

A pregnancy conceived before 18 months of enrollment, regardless of outcome, is indicative of a short interpregnancy interval and is an adverse outcome that the P4HB IPC and RM only components were designed to prevent among women with VLBW deliveries. In Table 9, we test for *statistically significant differences* in the percentage of women in the 2011-2018 IPC enrollee cohort versus the RSM comparison cohort with a pregnancy within six, twelve and eighteen months from the P4HB start date. RSM women participating in P4HB start ~ 90 days post-delivery. Thus, we look for a pregnancy ~90 days post-delivery for the non-participating RSM

group as a comparison. Among the 2011-2018 IPC enrollee cohort, regardless of service use, a significantly smaller percentage experienced a repeat pregnancy within six months (4.8% vs. 10.4%) and twelve months (11.5% vs. 19%) of their index VLBW delivery compared to women in the RSM non-enrollee cohort. By 18 months after the index VLBW delivery, this statistically significant difference persisted, with 17.3 of IPC enrollees, regardless of service use, having a repeat pregnancy compared to 25.8% of the RSM comparison group.

Table 9. Number and Percent of Women with VLBW Infant with Repeat Pregnancy within Six, Twelve or 18 Months and Repeat Delivery within 18 Months, IPC Waiver Demonstration Participants, Ages 18-44

| Timing of Repeat Pregnancy or Delivery | IPC 2011-2018 N =2,036 | RSM – VLBW 2011-2018 N =4,377 |
|--|----------------------------------|---|
| Pregnant within 6 months | 98 (4.8%) | 455 (10.4%) ^{^^^} |
| Pregnant within 12 months | 234 (11.5%) | 831 (19.0%) ^{^^^} |
| Pregnant within 18 months | 352 (17.3%) | 1,127 (25.8%) ^{^^^} |
| Delivery within 18 months | N = 1,840* 187 (10.2%) | N = 4,119* 726 (17.6%) ^{^^^} |
| Fetal Deaths | 25 (13.4%) | 98 (13.5%) |
| Still Births | 8 (4.3%) | 33 (4.5%) |
| Very Low Birth Weight (<1500 g) | 19 (10.2%) | 59 (8.1%) |
| Low Birth Weight (1500-2499 g) | 32 (17.1%) | 130 (17.9%) |
| Normal Birth Weight (≥2500 g) | 92 (49.2%) | 348 (47.9%) |
| Unknown Weight | 44 (23.5%) | 189 (26.0%) |
| Adverse Delivery Outcome** | 84 (4.6%) | 320 (7.8%) ^{^^^} |

**IPC and RSM-VLBW index deliveries through 06/30/2018 **Sum of fetal deaths, still births, and low birth weight deliveries. Chi-Square: [^] P-value < 0.10, ^{^^} P-value < 0.05, ^{^^^} P-value <0.01 Notes: Repeat pregnancies were identified using the following set of claims codes: Repeat deliveries were defined as human conceptions ending in live birth, stillbirth (≥ 22 weeks' gestation), or fetal death (< 22 weeks). Ectopic and molar pregnancies and induced terminations of pregnancy were NOT included. Deliveries of Live births were identified in the claims by using ICD-9 diagnostic codes 640-676 plus V27.x OR ICD-9 procedure codes 72, 73, or 74 plus V27.x OR CPT-4 codes 59400, 59409, 59410, 59514, 59515, 59612, 59614, 59620, 59622 plus V27.x or Z37.x OR ICD-10 diagnostic codes O0 – O9 plus Z37.x or ICD-10 procedure codes 10A, 10D, or 10E plus Z37. x. Deliveries of Stillbirths were identified by using ICD-9 diagnostic code 656.4x (intrauterine fetal death ≥ 22 weeks gestation) OR specific V-codes [V27.1 (delivery singleton stillborn, V27.3 (delivery twins, 1 stillborn), V27.4 (delivery twins, 2 stillborn), V27.6 (delivery multiples, some stillborn), V27.7 (delivery multiples, all stillborn)] or ICD-10 diagnostic codes Z37.1, Z37.4, or Z37.7 Deliveries associated with Fetal deaths < 22 weeks were identified by using ICD-9 diagnostic codes 632 (missed abortion) and 634.xx (spontaneous abortion) or ICD-10 diagnostic codes O03 or O02.1. In the case of a twin or multiple gestation, the delivery was counted as a live birth delivery if ANY of the fetuses lived. Costs were accumulated over the pregnancy and attributed to the delivery event if there was a fetal death (632) that preceded a live birth.*

We also show the percentage of women in each cohort with a *delivery* within 18 months of their index VLBW delivery and the outcomes of those deliveries. The percentage of IPC women experiencing a *delivery* within 18 months was significantly lower than for the RSM/VLBW

comparison cohort (10.2% vs 17.6%). Moreover, the percentage experiencing *any* of adverse pregnancy or birth outcome (fetal death, stillbirth, VLBW or LBW delivery) was significantly lower ($p < 0.01$) for the IPC enrollees than for the RSM women with an index VLBW infant who did not participate (4.6% vs 7.8%). Since the characteristics of the participants and non-participants differ, we used regression analysis to assess the adjusted difference in the following outcomes: 1) probability of a repeat pregnancy within 18 months; 2) probability of a delivery within 18 months and 3) probability of an adverse delivery outcome with 18 months. We control for age, race, month of index birth, months enrolled in the 18 months over which we follow them and an indicator for urban/rural residence. The regression results are shown in Table 10 below.

Table 10. Estimated Marginal Effects for IPC Compared to RSM Women with VLBW Infants, Ages 18-44

| Outcome | Marginal Effect |
|--|----------------------|
| Repeat Pregnancy within 18 Months after Index Delivery | -11.9 ^{^^^} |
| Repeat Delivery within 18 Months after Index Delivery | -9.7 ^{^^^} |
| Adverse Delivery Outcome within 18 months after Index Delivery | -4.2 ^{^^^} |

[^] P -value < 0.10 , ^{^^} P -value < 0.05 , ^{^^^} P -value < 0.01

Notes: Estimated effects from logistic models are multiplied by 100 to provide percentage point changes in the dependent variable. Controlled for age, race, month of index birth, months enrolled in the 18 months over which we follow them and urban/rural residence.

These results indicate that participation in the IPC component of the P4HB program, regardless of service use, is associated with a statistically significant reduction in the probability of a repeat pregnancy or a repeat delivery within 18 months of an index VLBW delivery, of 11.9 and 9.7 percentage points, respectively. Important to the goals of P4HB regarding infant outcomes, the probability of an adverse delivery outcome is lower by 4.2 percentage points among IPC participants versus non-participants. We acknowledge that there are unobserved/unmeasured characteristics of the women with a VLBW infant that affect their decision to participate in IPC or their engagement with the healthcare system that may facilitate their enrollment and likely

affect these outcomes. We also note that we do not control for utilization of P4HB services in these regressions as we cannot measure/control for utilization of those not enrolling in P4HB.

VIII. EFFECTS OF THE P4HB PROGRAM ON GOALS

When the P4HB program was implemented, the state hypothesized that the program would bring sufficient numbers of women into the program such that the overall use of family planning services/supplies among low-income women would increase, and the more consistent use of effective contraceptive methods among program users would increase. In combination with the interpregnancy care provided to women with VLBW infants was expected to reduce VLBW and LBW births rates.

PRAMS Analysis. As reported in prior Annual Reports we used data from the Pregnancy Risk Assessment Monitoring System (PRAMS) to analyze the impact of P4HB on desired goals. The PRAMS is a mixed-mode, population-based, state-specific surveillance system of selected maternal behaviors and experiences during pregnancy and following childbirth. Our study sample included data from the years prior to implementation of the P4HB program (2008-2010) and the years following implementation (2012-2013); we excluded data from the transition year of P4HB implementation (2011).

To test the effects of P4HB using PRAMS data, we identified women who were uninsured pre-pregnancy, but Medicaid insured at delivery as these women were most likely in the income range targeted by P4HB. We included these women in the Georgia PRAMS sample and similarly defined women in the PRAMS sample in three control states (Arkansas, Oklahoma, and Maryland). A key criterion in selecting our control states was a formal test of equality in trends of outcome measures

in Georgia and control states. We verified the trends were similar allowing the control states to serve as a counterfactual for Georgia. We summarize the results of this analysis in the text below.

In Table A.1 we show descriptive data for rates of unintended pregnancy, regardless of the measure of unintended pregnancy used, declined between the pre and post period for women in the Georgia as well as control states' samples. In Georgia, this rate was 61% in the pre period and declined to 57% in the post period while this rate declined from 60% to 51% in the control states. Those with live births who reported they were 'not trying' to get pregnant increased in both Georgia and the control states with 72% of Georgia women reporting this in the post period compared to 60% of the comparison women.

We also used the difference-in-difference method to estimate the effects of P4HB on these outcomes (Table 11 and Table A.2). With this method, changes in the outcomes from the control group are subtracted from those of the treatment group, controlling for any group-specific and time-specific effects that may have altered the outcomes during the study years. We controlled for mothers age, race/ethnicity, number of stressors, whether she drank alcohol or smoked tobacco three months before the pregnancy, number of previous live births, and number of terminations. All regression models included state and year fixed effects and adjusted standard errors for clustering at the state/year level.

Table 11. Estimated Marginal Effects on Pregnancy Prevention and Birth Outcomes

| | Marginal Effect | Standard Error | p-value |
|--|------------------------|-----------------------|----------------|
| Unintended Pregnancy* | -0.068 | 0.035 | 0.054 |
| Unintended Pregnancy (drop unsure) ** | -0.114 | 0.036 | 0.002 |
| Not trying | 0.021 | 0.035 | 0.557 |
| Pregnancy Prevention Pre-conception | 0.294 | 0.041 | <0.001 |
| Pregnancy Prevention Post-partum | 0.031 | 0.016 | 0.054 |
| Problems getting birth control pre-conception | 0.019 | 0.023 | 0.409 |
| Very Low Birthweight | -0.006 | 0.029 | 0.847 |

| | | | |
|---------------------------|--------|-------|-------|
| Low Birthweight | 0.006 | 0.144 | 0.969 |
| Age at First Birth | -1.020 | 1.111 | 0.363 |

Controls: age, race/ethnicity, education, number of stressors, drank, smoked, year, number of previous live births, number of previous terminations. * “Were you trying” was used if respondent said “was not sure” to intent question in 2012 or 2013. If not sure and not trying, then coded as unintended ** Dropped those saying, ‘was not sure’ (2012-2013) Standard errors clustered by state/year Pre-period 2008-2010, Post-period 2012-2013. Sample is limited to Medicaid at delivery and uninsured pre-pregnancy

These results indicate that regardless of the measure of unintended pregnancy used, there were reductions in unintended pregnancy for women in Georgia relative to similar women in the control states. Using the first measure (which included respondents who indicated that they were unsure about their intentions but that they were not trying), the results indicate a reduction in births from unwanted pregnancies of 6.8 percentage points for the target group of women. When the women who are ‘unsure’ are excluded from this analysis, as in the second measure, the magnitude of the effect is larger and statistically significant. The only remaining results that are statistically significant ($p < .05$) include a large increase of 29 percentage points in the probability of using pregnancy prevention methods pre-conception and a three-percentage point increase in using pregnancy prevention methods post-partum.

Claims/Vital Records Analyses. In the following analysis we present both descriptive and regression results. In this analysis we used privately insured women with a high school or less level of education as the comparison group. We chose the lower education level to identify women expected to have incomes more comparable to the RSM and other Medicaid women.

Table 12. Characteristics of Medicaid versus Private Insured Mothers with High-School or Less Education, All Race/Ethnicity

| | Private (\leq High School Grad) | | | Medicaid | | |
|------------------------------|------------------------------------|----------------|----------------|---------------|----------------|----------------|
| | Pre 2009/2010 | Post 2012/2013 | Post 2014/2019 | Pre 2009/2010 | Post 2012/2013 | Post 2014/2019 |
| N | 9958 | 9195 | 28403 | 129561 | 128721 | 385510 |
| Age in Years (mean, std dev) | 29.1, 5.5 | 28.9, 5.6 | 29.1, 5.6 | 25.3, 5.4 | 25.8, 5.5 | 26.5, 5.5 |

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Age | | | | | | |
| 18-19 | 2.8% | 3.3% | 2.5% | 13.2% | 10.1% | 7.8% |
| 20-24 | 19.3% | 20.3% | 20.7% | 39.1% | 38.2% | 34.1% |
| 25-29 | 32.3% | 32.4% | 31.3% | 26.5% | 27.4% | 30.2% |
| 30-34 | 27.2% | 27.0% | 27.3% | 14.0% | 16.0% | 17.8% |
| 35-39 | 15.0% | 13.4% | 14.5% | 5.9% | 6.7% | 8.2% |
| 40-44 | 3.4% | 3.6% | 3.7% | 1.3% | 1.6% | 1.8% |
| Married | 82.3% | 76.1% | 76.7% | 34.1% | 33.7% | 32.9% |
| Education | | | | | | |
| Less than High School Graduate | 11.8% | 14.4% | 11.3% | 27.3% | 20.7% | 19.3% |
| High School Graduate | 88.2% | 85.6% | 88.7% | 72.7% | 79.3% | 80.7% |
| Race/Ethnicity | | | | | | |
| Non-Hispanic White | 61.7% | 56.9% | 56.1% | 35.5% | 35.6% | 33.9% |
| Non-Hispanic Black | 17.3% | 15.5% | 18.4% | 43.2% | 45.2% | 45.6% |
| Hispanic | 12.3% | 19.5% | 16.8% | 14.6% | 13.2% | 15.6% |
| Other/Unknown | 8.7% | 8.0% | 8.8% | 6.7% | 5.9% | 4.9% |
| Percent Census Tract in Poverty | 10.1% | 17.3% | 16.7% | 15.6% | 23.1% | 22.9% |
| Age at First Birth ¹ (mean, std dev) | 27.1, 5.5 | 26.8, 5.5 | 27.0, 5.5 | 22.8, 4.6 | 23.2, 4.6 | 23.8, 4.8 |
| Age 18-19 at First Birth ¹ | 6.5% | 7.6% | 5.7% | 26.3% | 21.4% | 17.8% |
| First Birth | 35.1% | 34.6% | 38.0% | 37.7% | 36.6% | 35.4% |
| Repeat Birth ³ | 64.9% | 65.4% | 62.0% | 62.3% | 63.4% | 64.6% |
| Maternal Smoking ⁴ | 4.6% | 3.9% | 3.2% | 10.3% | 9.2% | 7.9% |
| Interpregnancy Interval \leq 6 months ⁵ | 6.0% | 5.9% | 6.0% | 12.9% | 10.9% | 11.3% |
| Interpregnancy Interval \leq 12 months ⁵ | 16.6% | 15.8% | 15.9% | 27.2% | 23.7% | 24.1% |
| Interpregnancy Interval \leq 18 months ⁵ | 28.1% | 26.1% | 26.6% | 39.9% | 35.5% | 35.6% |
| Preterm ($<$ 37 weeks) ⁶ | 9.8% | 9.2% | 8.4% | 11.6% | 11.5% | 10.4% |
| Low Birth Weight ($<$ 2500 grams) ⁷ | 6.9% | 6.2% | 6.3% | 8.9% | 8.9% | 9.5% |
| Very Low Birth Weight ($<$ 1500 grams) ⁸ | 1.5% | 1.1% | 1.1% | 1.6% | 1.6% | 1.7% |

¹Age at first birth was determined based upon age and parity (parity = 0) as reported on the birth certificate; ² Teen birth was defined as those ages 18-19 years at the time of the index birth as reported on the birth certificate; ³ Repeat birth was defined as those for which the birth certificate indicated that the birth event was the second or more (MBTHEVOR \geq 2); ⁴ Maternal smoking was defined as those with tobacco use indicated on the birth certificate; ⁵ Interpregnancy interval \leq 6 months was determined based upon the interbirth interval as indicated on the birth certificate minus the gestational age of the subsequent birth; ⁶ Preterm birth was determined based upon a gestational age $<$ 37 weeks on the birth certificate; ⁷ Low birth weight was determined based upon an infant birth weight $<$ 2500 grams on the birth certificate; ⁸ Very low birth weight was determined based upon an infant birth weight $<$ 1500 grams on the birth certificate.

Throughout the descriptive and multivariate analysis, we separate the post P4HB period into the first two years 2012/2013 and the following years of data, 2014-2019. We do this to recognize the potential impact of the ACA on pregnant women and mothers potentially eligible for Medicaid or the ACA Marketplace in Georgia.

In Table 12 the descriptive data highlight the sociodemographic differences between the Medicaid and the private insured with lower education levels. The Medicaid insured mothers are more likely to be in the 18-19 age group, less likely married, more likely non-Hispanic black and living in

higher poverty census tracts than the private insured sample. Medicaid mothers are far more likely to have *less* than a high school level education than the private insured mothers. All of these differences hold in both the pre and post periods of study. Related in part to these socioeconomic characteristics, the Medicaid mothers are more likely to be smokers, to have very short interpregnancy intervals, preterm births and LBW or VLBW infant outcomes. In the regression analysis that follows, we control for the sociodemographic demographic variables just described.

The estimated effects shown in Table 13 can be interpreted as the change in the probability of the outcomes (except for age at first birth, which is a continuous measure) for the RSM and other Medicaid women affected by the P4HB program versus the control group (private insured, lower education) of women, controlling for the above covariates and a monthly time trend. This provides one measure of the ‘effect’ of the demonstration on the outcomes analyzed. In our discussion of the results, we focus on the effects which are significant at $p < .05$.

Table 13. Regression Analysis of Medicaid versus Private Insured Mothers with High-School or Less Education, Overall and by Race/Ethnicity

| | All Race/Ethnicity | | Non-Hispanic White | | Non-Hispanic Black | | Hispanic | |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------------|
| Maternal Health Outcomes | Post12_13* RSM | Post14_19 * RSM | Post12_13* RSM | Post14_19* RSM | Post12_13* RSM | Post14_19* RSM | Post12_13* RSM | Post14_19* RSM |
| Age at First Birth ¹ | .49 ^{^^} | .75 ^{^^} | .47 ^{^^} | .87 ^{^^} | 1.01 ^{^^} | 1.15 ^{^^} | .52 | .28 |
| Age 18-19 at First Birth ¹ | -2.01 ^{^^} | -2.39 ^{^^} | -2.46 ^{^^} | -2.11 ^{^^} | -3.05 ^{^^} | -3.33 ^{^^} | -2.14 | -.11 |
| Teen Birth ² | -.65 ^{^^} | -.84 ^{^^} | -.77 ^{^^} | -.69 ^{^^} | -.95 ^{^^} | -1.11 ^{^^} | -.33 | -.07 |
| Repeat Birth ³ | -1.32 | -1.97 ^{^^} | 2.30 ^{^^} | 3.45 ^{^^} | -7.66 ^{^^} | -3.04 [^] | -3.69 [^] | 5.79 ^{^^} |
| MaternalSmoking ⁴ | 1.82 ^{^^} | 2.28 ^{^^} | 1.18 ^{^^} | 2.83 ^{^^} | .09 | .01 | .08 | .10 |
| Interpregnancy Interval ≤ 6 months ⁵ | -.94 [^] | -.43 | .82 | 2.40 ^{^^} | 1.10 | -1.36 | -.63 | 1.89 [^] |
| Interpregnancy Interval ≤ 12 months ⁵ | -1.21 | .04 | -1.46 ^{^^} | -.27 | .11 | -.85 | -4.44 ^{^^} | -.17 |
| Interpregnancy Interval ≤ 18 months ⁵ | -.54 | .60 | -.29 | 1.78 ^{^^} | -.38 | .21 | -5.39 ^{^^} | -.16 |

| | | | | | | | | |
|---|------------------|--------------------|------|-------------------|-------------------|--------------------|-------|-------|
| Preterm (<37 weeks) ⁶ | .40 | -.05 | .61 | .44 | 1.19 | 1.33 | -1.22 | -1.12 |
| Low Birth Weight (< 2500 grams) ⁷ | .57 | 1.11 ^{^^} | .20 | .84 ^{^^} | 1.65 [^] | 2.57 ^{^^} | -.47 | .40 |
| Very Low Birth Weight (< 1500 grams) ⁸ | .23 [^] | .35 ^{^^} | -.01 | .07 | .60 | .73 [^] | -.11 | .34 |

[^] P-value < 0.10, ^{^^} P-value < 0.05, ^{^^^} P-value < 0.01

**All outcomes are measured using linked Medicaid and vital records data. ¹Age at first birth was determined based upon age and parity (parity = 0) as reported on the birth certificate; ² Teen birth was defined as those ages 18-19 years at the time of the index birth as reported on the birth certificate; ³ Repeat birth was defined as those for which the birth certificate indicated that the birth event was the second or more (MBTHEVOR ≥ 2); ⁴ Maternal smoking was defined as those with tobacco use indicated on the birth certificate; ⁵ Interpregnancy interval ≤ 6 months was determined based upon the interbirth interval as indicated on the birth certificate minus the gestational age of the subsequent birth; ⁶ Preterm birth was determined based upon a gestational age < 37 weeks on the birth certificate; ⁷ Low birth weight was determined based upon an infant birth weight < 2500 grams on the birth certificate; ⁸ Very low birth weight was determined based upon an infant birth weight < 1500 grams on the birth certificate.*

For the post compared to pre P4HB period (2009/2010), we found overall significant (p<0.05) effects for the full sample on: 1) increases in the age at first birth; 2) reductions in first birth at ages 18-19; and 3) reductions in all teen births. We also found reductions in very short interpregnancy (<6 months) intervals but only at the p<.10 level. The result on age at first birth suggests a half-year increase in the age at which Medicaid women have their first birth relative to the privately insured control group in the 2012/2013 and larger in the 2014/2019 post period. The results indicate a reduction of approximately 2 percentage points in the likelihood of a first birth at ages 18-19 through both of the post P4HB periods.

While the results on age at first birth hold for both non-Hispanic white and black women, they are larger for non-Hispanic blacks and do not hold for Hispanic women. The effect on reducing first births among 18-19 year olds holds for non-Hispanic white at the ~2 percentage points and black non-Hispanic women at ~ 3 percentage points in both of the post study periods (p<.01). Again, these findings do not hold for Hispanic women. Correspondingly, reductions in all births to teens is found for non-Hispanic women and are stronger for non-Hispanic black women throughout the post periods.

We note a strong divergence in the results for non-Hispanic white versus non-Hispanic black women with respect to a repeat birth over the pre versus post P4HB study periods. For white women, the probability of a repeat birth unexpectedly increased, 2.3 percentage points in 2012/2013 and 3.4 percentage points in 2014/2019 post periods. In contrast, for black women it decreased dramatically by 7.6 percentage points in the 2012/2013 post period and 3.0 percentage points in the 2014/2019 post period. Hispanic women experienced a decline of 3.7 percentage points only in the 2012/2013 post P4HB period. The expected reduction in short interpregnancy intervals (≤ 12 months) was seen for non-Hispanic white women in the 2012/2013 post P4HB period as well as for Hispanic women ($p < .05$). The results indicate a decline in interpregnancy intervals ≤ 18 months of 5.4 percentage points for Hispanic women in the 2012/2013 post period.

These differential patterns among the racial/ethnic groups in Georgia deserve further analysis. As noted in prior reports there is concern that the ACA mandate and the implementation of the Marketplace exchange in Georgia was associated with a change in the *composition* of the Medicaid such that different comparison groups need to be considered in future analyses. Perhaps related to this issue, there were unexpected positive effects on the probability of LBW and VLBW infant outcomes for the Medicaid women compared to the privately insured sample in the 2012/2013 and 2014/2019 post P4HB periods and these effects are stronger for non-Hispanic black women. As we approach a journal submission we will focus on the data prior to the ACA as so many changes took place for women in the income range targeted by P4HB as the ACA unfolded.

The PRAMS and vital records/claims analyses indicate effects of P4HB on increasing access to pregnancy prevention, reducing unintended births, reducing teen births, increasing age at first birth, and reducing very short interpregnancy intervals for Hispanics but we do not yet find

evidence that the P4HB program improved the key birth outcome of VLBW infants born to Medicaid enrolled women and hence, did not achieve its primary goal.

Infant Mortality Analysis.

Overview of Perinatal Periods of Risk. Perinatal Periods of Risk (PPOR) is a comprehensive approach to help communities understand and reduce infant mortality. Application of the PPOR approach within a community involves using fetal death certificate, live birth certificate, and linked infant death certificate files to map feto-infant deaths according to birth weight (500-1499 grams, ≥ 1500 grams) and age at death (fetal, neonatal, post-neonatal) to create four periods of risk that correspond to the primary prevention area for deaths in a particular categorical grouping. The four periods of risk are defined as: (1) Women's Health (i.e., deaths to infants 500-1499 grams regardless of age at death; (2) Maternal Care (i.e., deaths to infants ≥ 1500 grams in the fetal period); (3) Newborn Care (i.e., deaths to infants ≥ 1500 grams in the neonatal period); and (4) Infant Health (i.e., deaths to infants ≥ 1500 grams in the post-neonatal period. For the P4HB program, deaths attributable to the Women's Health period of risk are of particular relevance as key strategies in the maternal health improvement category include the provision of contraception to support wanted/intended pregnancies and healthy interpregnancy intervals and the promotion of health of women before and between pregnancies.

Perinatal Periods of Risk, Georgia, Pre- and Post-P4HB. Table 14 and Chart 7 display feto-infant mortality data for Georgia women 18-44 years of age, unmarried, with high school/GED or less education to approximate the Medicaid eligible population. In Chart 7 the purple line indicates the total feto-infant mortality, whereas the blue line indicates that attributable to poor underlying Women's Health and the red line, that attributable to poor Maternal/Newborn/Infant Care; Table

14 gives the proportion of the total feto-infant mortality attributable to each of these components across the years of interest.

Chart 7. Feto-Infant Mortality Rate Overall (Women's Health Rate plus Maternal/Newborn/Infant Care Rate) Over Time for GA women 18-44, Unmarried, High School/GED or Less Education

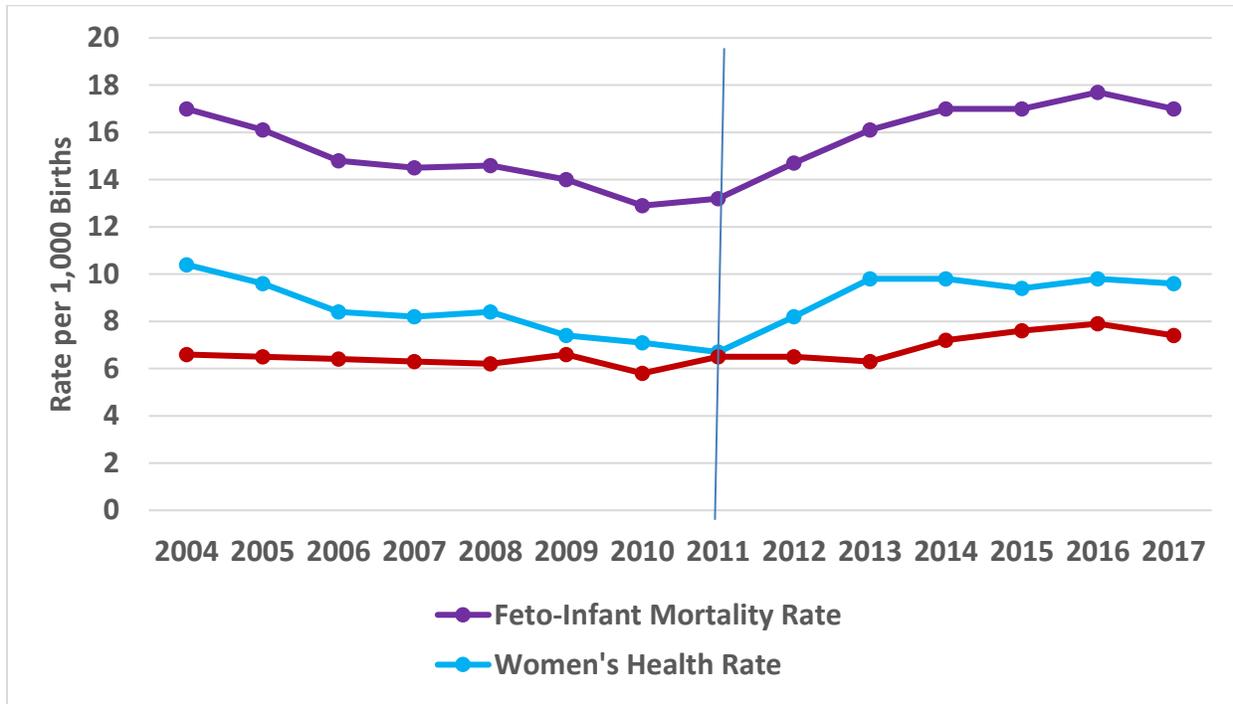


Table 14 Feto-Infant Mortality per 1000 births

| Year | Total | Attributable to Women's Health (%) | Attributable to Maternal/Newborn/Infant Care (%) |
|------|-------|------------------------------------|--|
| 2004 | 17.0 | 10.4 (61.2%) | 6.6 (38.8%) |
| 2005 | 16.1 | 9.6 (59.6%) | 6.4 (40.4%) |
| 2006 | 14.8 | 8.4 (56.8%) | 6.4 (43.2%) |
| 2007 | 14.5 | 8.2 (56.6%) | 6.3 (43.4%) |
| 2008 | 14.6 | 8.4 (57.5%) | 6.2 (42.5%) |
| 2009 | 14.0 | 7.4 (52.9%) | 6.6 (47.1%) |
| 2010 | 12.9 | 7.1 (55.0%) | 5.8 (45.0%) |
| 2011 | 12.9 | 7.1 (55.0%) | 5.8 (45.0%) |
| 2012 | 14.7 | 8.2 (55.8%) | 6.4 (44.2%) |
| 2013 | 16.1 | 9.8 (60.9%) | 6.3 (39.1%) |
| 2014 | 17.0 | 9.8 (57.6%) | 7.2 (42.4%) |
| 2015 | 17.0 | 9.4 (55.3%) | 7.6 (44.7%) |

| | | | |
|------|------|-------------|-------------|
| 2016 | 17.7 | 9.8 (55.4%) | 7.9 (44.6%) |
| 2017 | 17.0 | 9.6 (56.5%) | 7.4 (43.5%) |

For the period from 2004 through 2010, the overall fetoinfant mortality rate dropped (from 17.0 to 12.9 fetoinfant deaths per 1000 births) as did the rate and percentage attributable to women’s health (from 10.4 [61.2%] to 7.1 [55%] fetoinfant deaths per 1000 births). For the period from 2011 through 2017, the overall fetoinfant mortality rate steadily increased from 13.2 to 17.0 fetoinfant deaths per 1000 births with little change in the percentage attributable to women’s health vs. maternal/newborn/infant care.

Caveats for Interpreting Georgia Infant Mortality and PPOR Data Pre- and Post-Waiver.

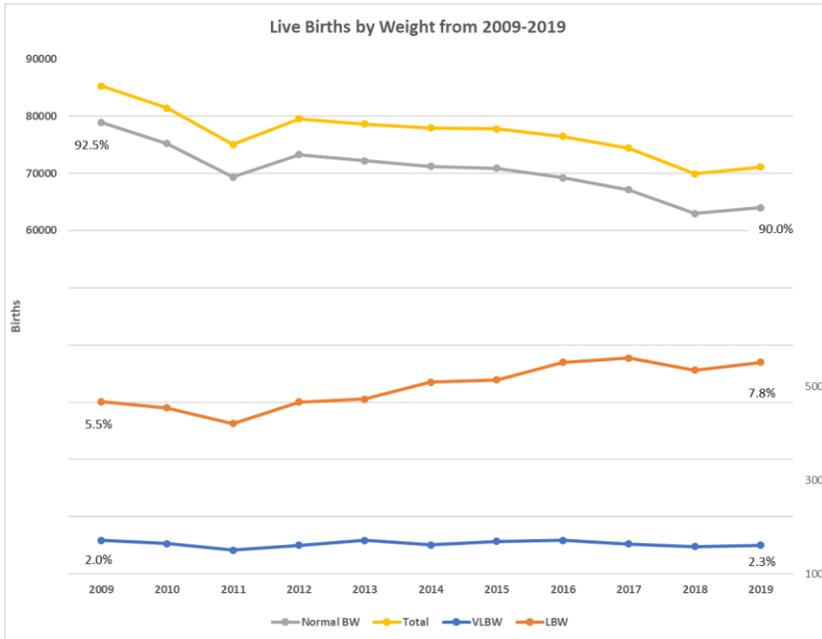
In 2010, there was known underreporting of infant deaths in Georgia, which reduced the fetoinfant mortality rate for the 2010 and 2011 birth cohorts (immediately pre-waiver). Furthermore, on 04/25/2018, the lower limit for inclusion of births and fetal deaths was lowered from 500 grams to 200 grams, which had the effect of including more fetal death and linked live birth/infant death records in calculating the fetoinfant mortality rate. While this approach is more representative of actual fetoinfant mortality, making this adjustment had the effect of changing the fetoinfant mortality number and rate from 1,351 and 10.3 respectively in 2015, to 1,912 and 14.5 after the adjustment. In summary, these two known issues with the Georgia fetoinfant mortality data make it difficult to compare data across the pre-waiver and post-waiver periods. Now that methods have stabilized for 2015 forward, the tracking of fetoinfant mortality data and application of the PPOR approach for monitoring fetoinfant mortality in Georgia will be more meaningful.

IX. TRENDS in MEDICAID PAID BIRTHS

Over the full demonstration period we have tracked the total number of Medicaid paid births and the amounts paid for services at delivery for the mother and infant as well as in the first year of the

infant’s life. We placed these large summary tables in Appendix B but include a chart below to note the overall trends.

Chart 8. Trends in Medicaid Live Births and Percentage VLBW and LBW, 2009-2019



These data show a somewhat steady decline in the total number of Medicaid paid births in Georgia from the 85,370 in 2009 to a total of 71,101 in 2019. This may reflect national trends of declining fertility, improvements in the overall economy through 2019 and the

Affordable Care Act (ACT) Marketplace option in Georgia. Both an improving economy and the Marketplace increase access to private insurance among lower-income women. These trends may also reflect some of the effects from P4HB shown in our analysis of PRAMS and claims/vital records.

However, as the chart also shows, the percentage of all Medicaid births that are VLBW has been remarkably stable at about two percent over the pre/post P4HB time-period. Based on the linked claims/vital records, the percentage of VLBW infants paid for by Medicaid has increased slightly from 1.9% in 2009 to 2.1% in 2019. A larger increase occurred in the percentage of LBW infants, climbing from 8.3% in 2009 to 9.2% in 2019. Both the claims data and vital records reflect upward

trends in these outcomes and again point to the lack of success in achieving the primary goal of the P4HB waiver demonstration.

Given the earlier analysis on reductions in unintended pregnancies and data on ‘averted’ births coupled with the Medicaid costs (CMO paid amounts) for the mother and infants shown in Tables B.3 and B.4, there is evidence that P4HB has resulted in savings to the state. The maternal costs at delivery average \$4,769 across the 2009-2019 calendar years while costs for the infant across all birthweight categories, averaged \$4,147. There could have been additional savings if P4HB had been successful in reducing the rates of VLBW and LBW infants born to Medicaid insured mothers. Average costs for VLBW infants across these years was \$77,592 versus only \$1,928 for an infant of normal birthweight (Table B.4).

X. CONCLUSIONS AND RECOMMENDATIONS

The data and conclusions reported within this summative report show the patterns of outcomes of a more mature demonstration program. As the state starts on the ten-year extension of the P4HB program, it is important to take stock of its strengths and weaknesses. This is particularly important as the Georgia Gateway system ‘cascading’ of enrolled women appears to bring in women less aware and/or less interested in the family planning services offered in P4HB. In the introduction to this report, we organized our findings around the program goals and objectives. Here, we provide a summary conclusion from the analysis, challenges to achieving the stated goals of the P4HB and a set of recommendations for the program as it matures further.

Conclusions

Overall, the progress on key P4HB goals and related program objectives is mixed. The combined pre/post analysis using PRAMS and vital records/claims indicates effects of P4HB on

1) increasing access to pregnancy prevention, 2) reducing unintended births, 3) reducing teen births, 4) increasing age at first birth and 5) reducing very short interpregnancy intervals but only for Hispanic women. However, there is no evidence to indicate that the P4HB program has had significant effects on the state's desired goals of reducing VLBW and LBW births to Medicaid insured women. Indeed, there is an upward trend in the descriptive data on these outcomes and analysis based on the quasi-experimental design showed no significant effects.

We have noted difficulties in analyzing the impact of P4HB on these outcomes due to the lack of a precisely defined control group and the fact the post-P4HB study period was interrupted by ACA policies that provided subsidized insurance for near-poor women in Georgia and other non-expansion states. A positive outcome is that women eligible for the IPC component of P4HB who enroll and use services experience a significant decrease in subsequent adverse birth outcomes compared to RSM women with a VLBW delivery who do not enroll.

One reason for the lack of progress on overall reductions in VLBW and LBW is the fact that the P4HB program has never enrolled the anticipated percentage of eligible women in Georgia's communities. Enrollment increased with 'auto-enrollment', dropped significantly when the auto-enrollment process ended and currently, the Georgia Gateway system is enrolling women who as with the 'auto enrolled' group, may be unaware of or less interested in P4HB services. As a result, the use of family planning services among FP only enrollees has dropped markedly in the most recent PYs. Since the use of any family planning services and, in particular, the use of the more effective contraceptive methods reduces the probability of pregnancies within short periods and clinically inappropriate interpregnancy intervals, it is important that the state continue to address the issues the Georgia Gateway system has exacerbated.

In summary, to meet the key goals of reducing VLBW and LBW infants on Medicaid there is a need to enroll and retain larger numbers of eligible women in the P4HB components and once enrolled, to increase the percentage aware of services covered, of providers willing to serve them and ultimately, the percentage using effective family planning services. There is also the need to understand the organization and delivery of RM services through the IPC component of P4HB. To the extent addressing the needs for social support services in their communities can improve the health of women in this component, the full intent of P4HB can be furthered.

Threats to Success

There are numerous reasons the P4HB has not attained its stated goals. While some of these may be beyond the control of the state, there key threats noted in prior reports and that still apply:

- Low levels of enrollment and penetration of the eligible population in the community;
- Low retention of enrollees in both the FP only and IPC components of the program beyond the one-year mark;
- Limited understanding of the program itself – including the enrollment process and the program’s eligibility criteria and covered services – by women and their health care providers;
- Increased confusion among prospective enrollees with the Georgia Gateway system and apparent lack of awareness and/or understanding of covered services;
- Limited marketing or large-scale outreach to eligible women and prospective providers in the community;
- Lack of focus on how the FP only component can work to decrease the probability of a VLBW infant born by reducing unintended births to first-time mothers;
- Disruption of the Title X provider system, a potential source of care for many women in the income range targeted and paid for by P4HB, that only now has returned to prior levels of clientele but still lacks complete data on contraceptive usage;
- Lack of adequate promotion of and access to the most effective contraceptive methods;

- Lack of coordination with the federal exchange that can ensure women pre-conception who would otherwise become eligible for Medicaid coverage when pregnant.

Our analysis of the chronic health conditions for which the IPC and RM women are receiving services shows those women with chronic health conditions are indeed utilizing services for a variety of conditions that are linked to adverse reproductive health outcomes if not under control with proper management. This highlights the importance of the IPC services for promoting *subsequent* reproductive health outcomes. While this is a positive outcome for P4HB its overall goals will not be met without increased focus on the FP only component. Here, the focus needs to be on avoiding unintended pregnancies and if/when the woman desires to become pregnant to emphasize her pre-conception health and well-being. There could be further savings to the Medicaid program if providers make these women aware of the full range of insurance options open to them.

Recommendations

With the renewal and extension of P4HB for ten years, the state needs to carefully review the strengths and weaknesses of this important safety net program and take action to ensure its success.

Specific recommendations are as follows:

1. Seek funds for a new, state-wide, multi-strategy marketing campaign designed to enhance consumer and health care provider awareness of the P4HB program. This campaign should include information about P4HB eligibility, enrollment via Georgia Gateway and services as well as details about the renewal and access to Federally Qualified Health Centers (FQHCs), including those that are part of the GFPS, as well as public health department clinics to promote P4HB enrollment and services.

2. In this process, clarify to women and Medicaid participating providers that the P4HB program will continue to operate for ten years.
3. Educate the CMOs and their participating providers regarding the covered services. A clear list of the procedure costs and the need to use family planning modifiers should be conveyed to CMOs and providers. The state should consider publishing this list on its website as in Florida's program (see below).
https://ahca.myflorida.com/Medicaid/Family_Planning/pdf/FamilyPlanningCoveredProcedureCodes_January2019.pdf . New and existing Medicaid providers should be engaged on a regular basis regarding P4HB eligibility, benefits, enrollment procedures as well as recertification of eligibility procedures.
4. Outreach and education of Medicaid providers should also incorporate information about the availability of post-partum LARC insertion during a delivery hospitalization; while not paid for under P4HB, this policy dovetails with the goals and objectives of P4HB.
5. Outreach and education of Medicaid participating providers should clarify the extension of postpartum Medicaid coverage now in place due to federal legislation related to the Covid-19 public health emergency. Importantly, this clarification should note the separate extension to 6 months postpartum under Georgia's newly approved Section 1115 waiver that is scheduled for implementation in July 2021.
6. Monitor the participation of eligible women in P4HB among those in the community as well as among those delivering a baby under RSM or LIM coverage.
7. DCH should consider 'leveraging' payments to CMOs to learn more about the understanding their clients have of all components of the P4HB program and satisfaction with it. For example, a campaign to reach out to enrollees in the first few months should

be encouraged or incentivized for the CMOs and their network of providers as early engagement has been shown to be effective.

8. CMOs and their providers should educate women on the recommendations for earlier and more visits in the postpartum period (or the ‘fourth trimester’) advocated by the American College of Obstetricians and Gynecologists.¹¹ This should include the importance of achieving adequate interpregnancy intervals for intended pregnancies, and the more effective forms of contraceptives available to them through the P4HB program.
9. Initiate another round of outreach to the neonatal intensive care units (i.e., the site of care for newborn VLBW infants), particularly the Regional Perinatal Centers, throughout Georgia to inform the social workers, nurse case managers, and physicians of the availability of the IPC and RM components of P4HB and the benefits it provides.
10. Promote retention of enrollees in both the FP only and IPC components of the program. The state should review processes for recertification of women for continued P4HB program eligibility to assure that barriers for continued enrollment are minimized. This is especially important as the Georgia Gateway system continues to enroll women in Medicaid, P4HB and other public programs.
11. Assess the role of the Gateway System in women’s knowledge of and enrollment not only in P4HB but also in other public services. For example, is the system bringing women into P4HB and connecting them to SNAP or other public programs for which they are eligible?
12. Monitor the means by and intensity with which the Resource Mothers of the four CMOs are outreaching to engage IPC and RM only enrollees to fully participate in the benefits available to them. Encourage the Resource Mothers across the CMOs to share best

practices and lessons learned in interfacing with the IPC enrollees to engage in family planning and preventive services as well as services for the care of chronic conditions.

13. Encourage engagement of the CMOs with public health district leaders to see if enrollment of the VLBW infants' mothers in certain areas is higher than in other areas of the state without a coalition with the public health personnel. Ensure that public health personnel are aware of how the Georgia Gateway system is or is not working to enroll a high percentage of those women truly eligible for the IPC and RM only components of P4HB.

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Appendix A. Methods and Analysis of PRAMS Data

Dependent Variables

Unintended Birth: Unintended birth is a key outcome of interest that we can only measure with survey data. Due to changes in the PRAMS survey during our study period, we tested several measures of unintended pregnancy/birth. For years 2008-2010, the PRAMS data asked the question: “Thinking back to just before you got pregnant with your new baby, how did you feel about becoming pregnant?” and included as possible responses the following options: 1) *I wanted to be pregnant sooner*, 2) *I wanted to be pregnant later*, 3) *I wanted to be pregnant then*, and 4) *I didn't want to be pregnant then or at any time in the future*. In 2012, however, a fifth response choice was added: 5) *I wasn't sure what I wanted*. While PRAMS data have generally been used to classify pregnancies as unintended if a woman wanted to be pregnant later or did not want to be pregnant then or at any time in the future, we had to address the additional response introduced in 2012-2013. We therefore tested several ways of using the data to measure unintended pregnancy/birth. For our first measure, we considered a mother's answer to a second question: *When you got pregnant with your new baby, were you trying to get pregnant?* We then classified mothers as having an unintended pregnancy/birth if they responded that they were: 1) *unsure what they wanted*; or 2) *were not trying to get pregnant*. With this measure, we tested models excluding mothers who were unsure what they wanted. Finally, we completed a separate analysis of whether a mother was trying to get pregnant, based on the answer to the following question: *When you got pregnant with your new baby, were you trying to get pregnant?*

Pregnancy Prevention Effort: Our analysis assessed women's reports of efforts to prevent pregnancy in the preconception and postpartum periods as well as their report of problems getting birth control during the preconception period. Pregnancy prevention during the preconception period was based on the mother's yes/no response to the question: *"When you got pregnant with your new baby, were you or your husband or partner doing anything to keep you from getting pregnant?"* This question lists the key things people do to keep from getting pregnant: birth control pills, condoms, withdrawal, or natural family planning. Pregnancy prevention post-partum is a yes/no to the question: *"Are you and your husband or partner doing anything now to keep from getting pregnant?"* Problems getting birth control pre-conception is a yes/no to the question: *"I had problems getting birth control when I needed it"* which was a possible response to the question: *"What were your reasons or your husbands' or partners' reasons for not doing anything to keep from getting pregnant?"*

Birth Weight: We examined two models estimating the probability of a low or very low birthweight infant. In these models, low birthweight was defined as less than 2,500 grams, while very low birthweight was defined as less than 1,500 grams.

Age at Birth: While we estimated a number of models examining the mothers age at birth, most of these results were statistically insignificant. We present in Table 10 below, the results using a continuous measure (age in years) at first birth. Mothers with a previous live birth were excluded from this analysis.

Results

In Table A.1 we show the means for each of the dependent variables for the sample of women uninsured pre-pregnancy but insured at delivery in Georgia and our control states; the unadjusted means are shown for the pre (2008-2010) and post (2012-2013) time periods. As the descriptive data show, the rate of unintended pregnancy, regardless of the way we measured it, declined between the pre and post period for women in our Georgia as well as control states' samples. In Georgia, this rate was 61% in the pre period but declined to 57% in the post period while this rate declined from 60% to 51% in the control states. Those with live births who reported they were 'not trying' to get pregnant went up in both Georgia and the control states with 72% of Georgia women reporting this in the post period compared to 60% of the comparison women.

Table A.1. Descriptive Statistics PRAMS 2008-2013

| | Georgia | | | | Control States (AR, MD, OK) | | | |
|--|-----------|------|-----------|------|-----------------------------|------|-----------|------|
| | Pre P4HB | | Post P4HB | | Pre P4HB | | Post P4HB | |
| | (n=1,057) | | (n=455) | | (n=4,494) | | (n=1,074) | |
| | Mean | SE | Mean | SE | Mean | SE | Mean | SE |
| Unintended Pregnancy* | 61.0% | 2.4% | 56.8% | 3.5% | 60.4% | 1.2% | 50.8% | 2.4% |
| Unintended Pregnancy** | 61.0% | 2.4% | 44.6% | 4.0% | 60.4% | 1.2% | 44.1% | 2.6% |
| Not Trying | 70.9% | 2.3% | 72.3% | 3.2% | 69.4% | 1.1% | 60.1% | 2.4% |
| Pregnancy Prevention Pre-conception | 40.2% | 2.9% | 70.9% | 3.7% | 44.9% | 1.5% | 40.5% | 3.1% |
| Pregnancy Prevention Post-partum | 82.8% | 1.8% | 80.8% | 2.7% | 86.1% | 0.8% | 79.0% | 1.9% |
| Problems getting birth control pre-conception | 9.0% | 1.7% | 6.5% | 1.8% | 6.3% | 0.7% | 6.3% | 1.5% |
| Very Low Birthweight (<=1,500 g) | 1.8% | 0.2% | 1.2% | 0.3% | 1.5% | 0.1% | 1.7% | 0.2% |
| Low Birthweight (<=2,500 g) | 9.0% | 0.5% | 10.0% | 1.5% | 8.4% | 0.2% | 8.1% | 0.5% |
| Age at First Birth | 23.3 | 0.36 | 24.1 | 0.62 | 23.0 | 0.17 | 24.8 | 0.29 |

Notes: Pre-period 2008-2010, Post-period 2012-2013. Sample is limited to Medicaid at delivery and uninsured pre-pregnancy

* "Were you trying" was used if respondent said "was not sure" to the intent question in 2012 or 2013. If not sure and not trying, then coded as unintended ** Dropped those saying, 'was not sure' (2012-2013)

There are markedly different trends in Georgia versus the comparison states on using pre-conception pregnancy prevention methods; in Georgia this increased from 40% to 71% over the pre/post period while in the control states, this declined from 45% to 41%. Pregnancy prevention

post-partum declined in Georgia and the control states' samples but more so in the latter. An important question for evaluating the P4HB program is whether these women reported problems getting pregnancy prevention methods pre-conception; here, nearly 9% of women in Georgia said 'yes' in the pre period but this declined to 7% in the post period while the percent saying 'yes' to this question in the control states stayed stable at 6%. With respect to birth outcomes, the descriptive data suggest that very low birth weight rates improved in Georgia relative to the comparison states while the rate of low birth weight (inclusive of very low birth weight) did not. Finally, age at first birth went up slightly in both samples. These means are unadjusted for age, race/ethnicity and other factors affecting these outcomes. We report on the outcomes after adjusting for these and other factors in the text below.

Multivariable PRAMS Analysis: We used the difference-in-difference method to estimate the effects of P4HB on these outcomes. With this method, changes in the outcomes from the control group are subtracted from those of the treatment group, controlling for any group-specific and time-specific effects that may have altered the outcomes during the study years. As noted, the treatment group includes mothers in Georgia that were uninsured pre-pregnancy but insured with Medicaid at delivery and the control group includes these women in the control states (Arkansas, Oklahoma, and Maryland). We used logistic or multinomial logistic analysis to examine all dichotomous outcomes and linear regression to estimate continuous measures. We controlled for mothers age, race/ethnicity, number of stressors, if the mother drank alcohol three months before her pregnancy, if the mother smoked three months before her pregnancy, number of previous live births, and number of terminations. All regression models included state and year fixed effects and adjusted standard errors for clustering at the state/year level. Analyses was conducted in Stata version 14.2 and account for the complex sample design of the PRAMS.

Table A.2. Estimated Marginal Effects on Pregnancy Prevention and Birth Outcomes

| | Marginal Effect | Standard Error | p-value |
|--|------------------------|-----------------------|----------------|
| Unintended Pregnancy* | -0.068 | 0.035 | 0.054 |
| Unintended Pregnancy (drop unsure) ** | -0.114 | 0.036 | 0.002 |
| Not trying | 0.021 | 0.035 | 0.557 |
| Pregnancy Prevention Pre-conception | 0.294 | 0.041 | <0.001 |
| Pregnancy Prevention Post-partum | 0.031 | 0.016 | 0.054 |
| Problems getting birth control pre-conception | 0.019 | 0.023 | 0.409 |
| Very Low Birthweight | -0.006 | 0.029 | 0.847 |
| Low Birthweight | 0.006 | 0.144 | 0.969 |
| Age at First Birth | -1.020 | 1.111 | 0.363 |

Controls: age, race/ethnicity, education, number of stressors, drank, smoked, year, number of previous live births, number of previous terminations. * “Were you trying” was used if respondent said “was not sure” to intent question in 2012 or 2013. If not sure and not trying, then coded as unintended ** Dropped those saying, ‘was not sure’ (2012-2013) Standard errors clustered by state/year Pre-period 2008-2010, Post-period 2012-2013. Sample is limited to Medicaid at delivery and uninsured pre-pregnancy

The results shown in Table A.2 indicate that regardless of the measure of unintended pregnancy used, there were reductions in unintended pregnancy for women in Georgia relative to similar women in the control states. Using the first measure, the results indicate a reduction in births from unwanted pregnancies of 6.8 percentage points for the target group of women. When the women who are ‘unsure’ are excluded from this analysis, the magnitude of the effect is larger and statistically significant. The only remaining results that are statistically significant ($p < .05$) include a large increase of 29 percentage points in the probability of using pregnancy prevention methods pre-conception and a three-percentage point increase in using pregnancy prevention methods post-partum.

Appendix B. Data on Deliveries and Infants 2009-2019

In this Appendix, we provide the tabled data on counts of deliveries and births in each CY of P4HB as well as birth outcomes for the pre and post P4HB period for which we have complete claims data. We also show data for the subset of births for which we have linked claims/vital records data. We continue to compare the information gained from the claims data regarding birth outcomes to that which we observe in the linked files. We provided a summary of the changes we see in the numbers of deliveries and live born infants across study years in the forgoing text (Section VIII).

Table B.1 Number of Medicaid Paid Births by Birth Weight Based on Claims Data (2009-2019)

| Weight Category | 2009 | | 2010 | | 2011 | | 2012 | | 2013 | | 2014 | |
|-------------------|--------|------|--------|------|--------|------|--------|------|--------|------|--------|------|
| | N | % | N | % | N | % | N | % | N | % | N | % |
| VLBW | 1,718 | 2.0 | 1,650 | 2.0 | 1,506 | 2.0 | 1,612 | 2.0 | 1,716 | 2.2 | 1,616 | 2.1 |
| LBW | 4,679 | 5.5 | 4,547 | 5.6 | 4,210 | 5.6 | 4,672 | 5.9 | 4,737 | 6.0 | 5,098 | 6.5 |
| Normal BW | 78,890 | 92.4 | 75,187 | 92.3 | 69,331 | 92.3 | 73,255 | 92.0 | 72,186 | 91.7 | 71,214 | 91.3 |
| Stillbirth | 83 | 0.1 | 79 | 0.1 | 40 | 0.1 | 50 | 0.1 | 42 | 0.1 | 38 | 0.1 |
| Total | 85,370 | | 81,463 | | 75,087 | | 79,589 | | 78,681 | | 77,966 | |

| Weight Category | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|-------------------|--------|------|--------|------|--------|------|--------|------|--------|------|
| | N | % | N | % | N | % | N | % | N | % |
| VLBW | 1,695 | 2.2 | 1,716 | 2.2 | 1,638 | 2.2 | 1,583 | 2.3 | 1,610 | 2.3 |
| LBW | 5,146 | 6.6 | 5,522 | 7.2 | 5,608 | 7.5 | 5,350 | 7.7 | 5,521 | 7.8 |
| Normal BW | 70,893 | 91.2 | 69,215 | 90.5 | 67,145 | 90.3 | 62,975 | 90.1 | 63,968 | 90.0 |
| Stillbirth | 34 | 0.0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0.0 |
| Total | 77,768 | | 76,454 | | 74,391 | | 69,908 | | 71,101 | |

Table B.2 Birth Weight Distribution from Claims versus Vital Records (2009-2019)

| | 2009 | | 2010 | | 2011 | | 2012 | | 2013 | |
|------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|
| | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % |
| VLBW | 1.9% | 2.0% | 1.9% | 2.0% | 1.8% | 2.0% | 1.9% | 2.0% | 2.0% | 2.1% |
| LBW | 8.3% | 5.4% | 8.5% | 5.5% | 8.2% | 5.5% | 8.4% | 5.8% | 8.4% | 5.9% |
| NORMAL BW | 89.8% | 92.6% | 89.6% | 92.5% | 90.0% | 92.5% | 89.8% | 92.2% | 89.6% | 92.0% |
| Link Rate | 89.0% | | 89.1% | | 82.2% | | 90.5% | | 91.4% | |

Distribution of birth weight categories *only* for babies linked to birth certificate.

| | 2014 | | 2015 | | 2016 | | 2017 | | 2018 | |
|------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|-----------------------------------|--------------------------|
| | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % | Birth Certificate Weight Category | Claims Weight Category % |
| VLBW | 2.0% | 2.1% | 2.0% | 2.1% | 2.1% | 2.2% | 2.0% | 2.1% | 2.1% | 2.2% |
| LBW | 8.7% | 6.3% | 8.7% | 6.5% | 9.0% | 7.1% | 9.2% | 7.3% | 9.4% | 7.4% |
| NORMAL BW | 89.3% | 91.6% | 89.3% | 91.4% | 88.9% | 90.7% | 88.8% | 90.6% | 88.5% | 90.4% |
| Link Rate | 91.5% | | 92.3% | | 92.7% | | 92.7% | | 92.8% | |

| | 2019 | |
|------------------|-----------------------------------|--------------------------|
| | Birth Certificate Weight Category | Claims Weight Category % |
| VLBW | 2.1% | 2.2% |
| LBW | 9.2% | 7.5% |
| NORMAL BW | 88.7% | 90.3% |
| Link Rate | 93.8% | |

Vital Records Very Low Birth Weight (<1500 gm) & Low Birth Weight (1500-2499 gm) Categorization for Linked Medicaid Live Births

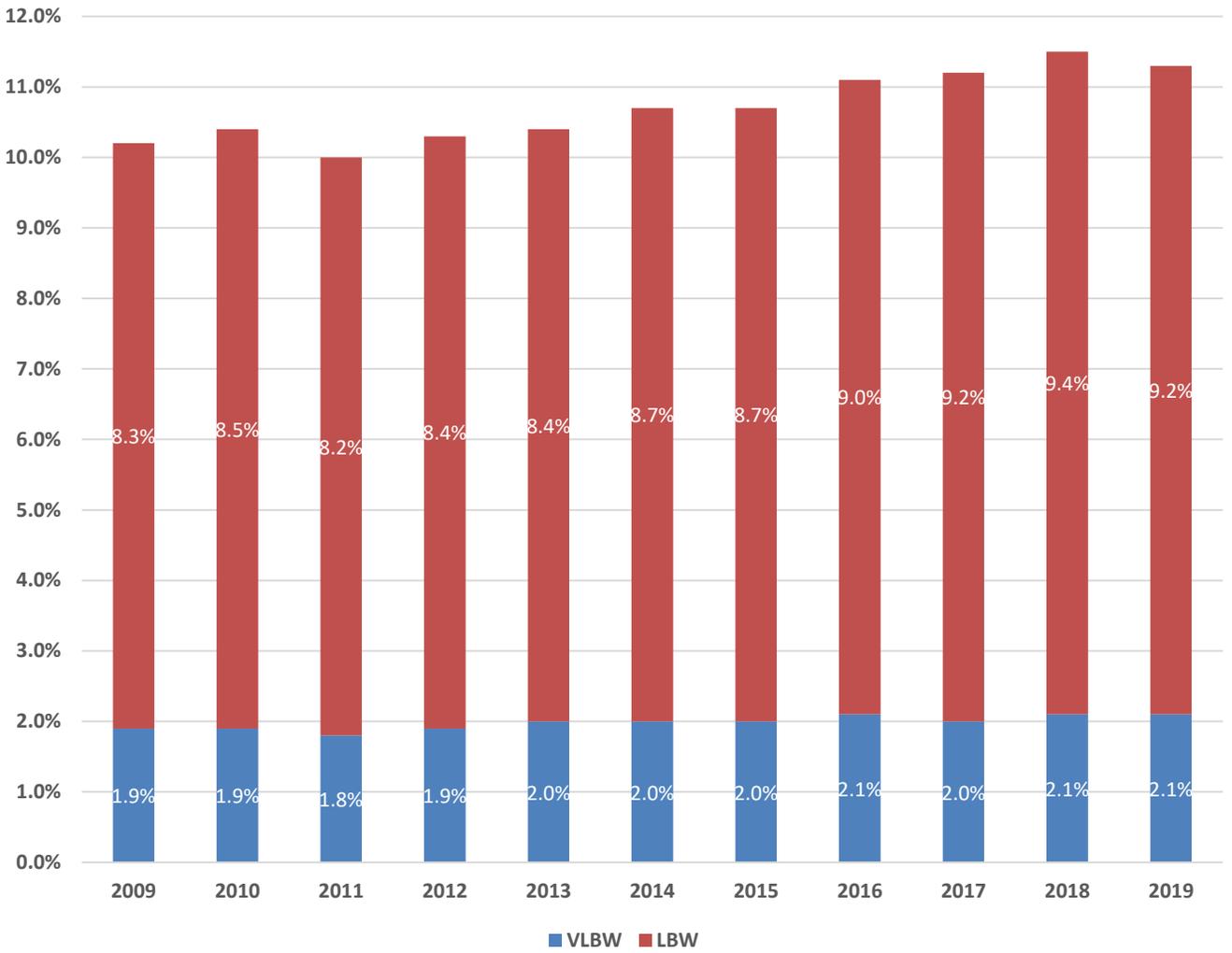


Table B.3 Counts and Maternal Costs of Medicaid Deliveries for Calendar Years 2009-2019

| MEASURE | Average Count | Grand Total Count | Average \$ Paid Mother 2009-2019 |
|---|---------------|-------------------|----------------------------------|
| <u>All Medicaid Deliveries¹</u> | | | |
| Total Deliveries ² | 76,787 | 844,662 | \$4769 |
| Liveborn deliveries | 68,423 | 752,658 | \$5,200 |
| Stillborn deliveries (>= 22 weeks) ¹ | 884 | 9,722 | \$4,039 |
| Fetal deaths < 22 weeks ¹ | 7,480 | 82,282 | \$908 |

¹ Deliveries were defined as human conceptions ending in live birth, stillbirth (>= 22 weeks gestation), or fetal death (< 22 weeks). Ectopic and molar pregnancies and induced terminations of pregnancy were NOT included.

- **Deliveries of Live births** were identified in the claims by using ICD-9 diagnostic codes 640-676 plus V27.x OR ICD-9 procedure codes 72, 73, or 74 plus V27.x OR CPT-4 codes 59400, 59409, 59410, 59514, 59515, 59612, 59614, 59620, 59622 plus V27.x or Z37.x OR ICD-10 diagnostic codes O0 – O9 plus Z37.x or ICD-10 procedure codes 10A, 10D, or 10E plus Z37.x
- **Deliveries of Stillbirths** were identified by using ICD-9 diagnostic code 656.4x (intrauterine fetal death >= 22 weeks gestation) OR specific V-codes [V27.1 (delivery singleton stillborn, V27.3 (delivery twins, 1 stillborn), V27.4 (delivery twins, 2 stillborn), V27.6 (delivery multiples, some stillborn), V27.7 (delivery multiples, all stillborn)] or ICD-10 diagnostic codes Z37.1, Z37.4, or Z37.7
- **Deliveries associated with Fetal deaths** < 22 weeks were identified by using ICD-9 diagnostic codes 632 (missed abortion) and 634.xx (spontaneous abortion) or ICD-10 diagnostic codes O03 or O02.1.
- In the case of a twin or multiple gestations, the delivery was counted as a live birth delivery if ANY of the fetuses lived. Costs were accumulated over the pregnancy and attributed to the delivery event if there was a fetal death that preceded a live birth.

Table B.4 Infant Counts and Costs for Mother and Infant at the Delivery Hospitalization Calendar Year 2009-2019

| MEASURE | Average Count | Average \$ Paid Mother ³ | Average \$ Paid Infant Delivery Hospitalization |
|---------------------------------------|---------------|-------------------------------------|---|
| All Medicaid Live births ¹ | 77,035 | \$5,355 | \$4,147 |
| VLBW | 1,642 | \$6,625 | \$77,592 |
| LBW | 5,008 | \$5,970 | \$11,261 |
| Normal BW | 70,385 | \$5,292 | \$1,928 |
| All Medicaid Stillbirths ² | 34 | \$5,156 | \$3,488 |

¹ Liveborn infants were identified and further categorized according to infant birth weight as very low birth weight (VLBW) < 1500 grams, low birth weight (LBW) 1500 – 2499 grams, and normal birth weight >= 2500 grams). Birth weight categories for liveborn infants were then defined using encounter data as follows:

- VLBW (< 1500 grams): ICD-9 = 764.xx or 765.xx or V21.3 that pertain to weight < 1500 grams: ICD-10 = P05.XX or P07.XX that pertain to weight < 1500 grams
- LBW (1500 – 2499 grams): ICD-9 = 764.xx or 765.xx or V21.3 that pertain to weight 1500 - 2499 grams: ICD-10 = P05.XX or P07.XX that pertain to weight 1500-2499 grams

• NBW (>= 2500 grams): ICD-9 = 764.xx or 765.xx or V21.3 that pertain to weight >= 2500 grams or not otherwise classified as VLBW, LBW or stillborn; ICD-10 not otherwise classified as VLBW, LBW or stillborn

² Stillborn infants were identified using ICD-9 diagnosis codes V35.xx, 768.0, 768.1, or 779.9 or ICD-10 diagnosis codes P95, Z37.1, Z37.4, or Z37.7

³ Amounts paid for mothers at the time of delivery were summarized for all deliveries in table 2 and are summarized here by birth weight of the infant for the subset of mothers (average yearly n = 54,523) who could be linked to an infant based on the SSN of the head of the household and other factors used in an algorithm developed by Truven.

*Link to mother not available

Appendix C. Summary of Budget Neutrality Calculations

The budget neutrality requirement for Georgia's P4HB program under the original STCs, as noted, was based on the potential of the Demonstration to 'shift' the birth weight distribution. Specifically, the budget neutrality spreadsheet requires that, in addition to the incremental costs of IPC services, the federal costs for all low and very low birth weight babies plus normal birth weight babies born to IPC enrollees in each Demonstration year must be less than the total federal costs (using current PY average costs) for the number of low and very low birth weight babies in the *base year* (2008) for the P4HB program to be considered budget neutral. As the program matured the state was better able to gauge whether the Demonstration prevented enough unintended first births and through better management of the health of women with very low birth weight babies, prevented enough repeat births among this group, such that the distribution of all Medicaid births shifted away from the low and very low birth weight categories.

However, given that the budget neutrality calculations were based on first year of infant life costs coupled with the lag in claims data to estimate these costs, the budget neutrality estimates lagged by one PY. Under the new STCs the calculation has been changed and DCH will be reporting these on a PY basis.

In the table below we summarize the calculations made on budget neutrality under the original STCs. The costs with and without the demonstration in the budget neutrality calculations are based on the amounts paid by the federal government through the FMAP for family planning and other Medicaid covered services. Thus, the dollar estimates in Table C.1 are the estimated savings to the federal government from the implementation of the P4HB Demonstration in each of the calendar years 2012 through 2018.

**C. 1 Budget Neutrality Calculations based on
Original STCs**

| Program Year | Calendar Year | Savings |
|---------------------|----------------------|----------------|
| 1 | 2011 | None |
| 2 | 2012 | \$57,568,603 |
| 3 | 2013 | \$43,767,318 |
| 4 | 2014 | \$29,127,001 |
| 5 | 2015 | \$22,743,250 |
| 6 | 2016 | \$23,364,080 |
| 7 | 2017 | \$27,699,795 |
| 8 | 2018 | \$40,090,928 |
| Total | | \$244,360,975 |
| Average | | \$34,908,711 |

As shown, there have been estimated savings in each year averaging almost \$35 million. The total savings across all the years shown in Table C.1 is just over \$244 million. This constitutes the estimated savings to the federal government from the implementation of the P4HB Demonstration through CY2018 and indicates the program achieved the tertiary goal of reducing Medicaid costs by reducing the number of unintended pregnancies by women who otherwise would be eligible for Medicaid pregnancy-related services.